# Epitaxial columnar growth of strain-free antiferromagnetic Weyl semimetal Mn<sub>3</sub>Sn on wurtzite c-plane GaN/Al<sub>2</sub>O<sub>3</sub>(0001)

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Abstract

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Weyl semimetal thin films with excellent crystalline quality are of great interest for antiferro-11 magnetic spintronics. Mn<sub>3</sub>Sn is one Weyl semimetal with great properties and promise for exciting 12 science and applications. It has proven very challenging, however, to grow Mn<sub>3</sub>Sn thin films with 13 smooth surfaces, negligible strain, and excellent crystallinity. In this work, we discuss the success-14 ful preparation of epitaxial Mn<sub>3</sub>Sn (0001)-oriented thin films via molecular beam epitaxial growth 15 on c-plane wurtzite GaN which was grown by MBE on Al<sub>2</sub>O<sub>3</sub> (0001). We present the reflection 16 high energy electron diffraction analysis along with x-ray diffraction in order to demonstrate the 17 crystalline quality of the film, and we give atomic models to explain the epitaxial orientation rela-18 tionships between the crystal lattices of the substrate, GaN layer, and Mn<sub>3</sub>Sn layer. Importantly, 19 we discuss the film lattice parameters as compared to expected values, demonstrating negligible strain both in-plane and out-of-plane. Atomic force microscopy reveals an epitaxial columnar 21 growth mode characterized by flat-top-mesa islands, while scanning tunneling microscopy shows 22 the atomically smooth surfaces of the mesa-top structures. Finally, Rutherford backscattering informs the stoichiometry of the film as well as the layer thicknesses.

- 25 Keywords: Weyl semimetal, chiral antiferromagnetic material, spintronics, molecular beam epi-
- taxy, reflection high energy electron diffraction, scanning tunneling microscopy

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#### 27 I. INTRODUCTION

There has been intensive interest in the spintronic applications of Weyl semimetal Mn<sub>3</sub>Sn 28 owing to its chiral antiferromagnetic properties while at the same time having a tiny, resid-29 ual magnetic moment which is affected by strain. Multiple Weyl points near the Fermi 30 level as well as resultant Fermi arcs at the surface were theoretically predicted by Yang et 31 al.[1] Experimentally, there have been observations of large anomalous Hall effect at room 32 temperature, [2, 3], anomalous Nernst effect, magneto-optical Kerr effect, and magnetic spin-33 Hall effect. [4–6] Even exchange bias has been reported. [7] What makes Mn<sub>3</sub>Sn so interesting 34 for spintronics is not that these effects can be seen in an antiferromagnetic semimetal, but 35 that they can be seen at very small applied magnetic field owing to its very weak and soft octupole moment. [2, 8] Impressively, Higo et al. reported the use of perpendicular spin 37 current to fully switch the magnetic octupole moment (with all Mn spins flipping in the process) for a 30 nm-thick Mn<sub>3</sub>Sn thin film by spin-orbit torque, but critical to achieve a net octupole moment was the presence of 0.2 % tensile strain along  $11\overline{2}0$  (ascribed to substrate lattice mismatch) which was enough to induce perpendicular magnetic anisotropy 41 of the octupole moment. [9] Yoon et al. further discuss the spin-orbit torque switching of 42 the octupole moment in terms of a handedness anomaly. [10] In all cases of interest, it is 43 important to quantify the material quality, strain of the thin film, and surface properties. A lot of efforts have been made to fabricate thin films with excellent and controlled phase purity, crystallinity, orientation, and strain. In fact, strain in Mn<sub>3</sub>Sn is a material property 46 which is being used to engineer the spintronic device properties.[9–11] 47

Different methods and substrates have been used to grow Mn<sub>3</sub>Sn including both MBE and 48 sputtering on Al<sub>2</sub>O<sub>3</sub> and MgO. In our previous work, we used molecular beam epitaxy in or-49 der to grow  $Mn_3Sn$  thin films on  $Al_2O_3$  (0001) substrates, and we reported two qualitatively 50 different results. In one case, we observed the growth of mainly c-plane oriented  $Mn_3Sn$ 51 (0001) with low strain, [12] while in the other, under different conditions we observed growth 52 of a-plane oriented Mn<sub>3</sub>Sn with large amounts of strain. [13] In both cases, the growth in-53 volved both a deposition step and a time delay step (to allow the film time to crystallize). In fact, the large mismatch (+19.0%) between  $a_{Mn3Sn}$  (5.665 Å)[4] and  $a_{Al2O3}$  (4.759 Å)[14, 15] could be one reason for the difficulty in growing smooth epitaxial Mn<sub>3</sub>Sn films on this substrate. As such, finding a more ideal substrate is desirable. One possibly better candidate is c-plane GaN. A c-plane GaN substrate, besides having a lattice mismatch (after 30° axis rotation) of only +2.63%, could have the added advantage of combining a wide band-gap semiconductor with an antiferromagnetic Weyl semimetal for spintronics applications.

In this work, we report the successful growth of Mn<sub>3</sub>Sn (0001) with excellent film and surface quality on c-plane wurtzite GaN as grown on c-plane Al<sub>2</sub>O<sub>3</sub>. We review first the growth of c-plane GaN on Al<sub>2</sub>O<sub>3</sub> (0001) and then introduce the growth of Mn<sub>3</sub>Sn on c-plane GaN.

#### 65 II. METHODOLOGY

The growth of Mn<sub>3</sub>Sn thin films was performed using a custom-designed molecular beam 66 epitaxy (MBE) system equipped with a radio-frequency (RF) plasma source supplied with 67 6N ultra-high purity nitrogen gas (N<sub>2</sub>) and knudsen-type effusion cells filled with 4N purity 68 manganese (Mn), 5N purity tin, and 6N purity gallium, with precise temperature control maintained by Eurotherm 2416 controllers. Real-time monitoring of surface structural evo-70 lution was conducted using a reflection high-energy electron diffraction (RHEED) system 71 (KSA 400). In RHEED, the observed diffraction pattern is directly linked to the surface 72 crystallography of the sample, particularly the *in-plane* periodicity of lattice points. For hexagonal crystal structures, the RHEED pattern provides insightful information when the electron beam is aligned along specific azimuthal directions. Two commonly studied azimuths are the  $[11\bar{2}0]$  (a-axis) and the  $[10\bar{1}0]$  (m-axis). These directions correspond to  $0^{\circ}$ and 30° orientations of the incident electron beam with respect to the hexagonal high symmetry axis. 78

Source flux calibration and thickness monitoring were performed using a quartz crystal microbalance (QCM - Inficon STM-2). The base pressure in the MBE growth chamber was maintained at  $5.8 \times 10^{-9}$  Torr. Basal (c)-plane sapphire (Al<sub>2</sub>O<sub>3</sub>) substrates (MTI Corporation,  $10 \text{ mm} \times 10 \text{ mm} \times 0.5 \text{ mm}$ , single-side polished) were back-coated by titanium and then cleaned ex-situ using acetone and isopropanol, followed by in-situ annealing at 770 °C for  $\sim$ 1 hour under active N<sub>2</sub> plasma at a chamber pressure of  $2.0 \times 10^{-5}$  Torr to nitridate the surface prior to GaN and Mn<sub>3</sub>Sn deposition.

A GaN buffer layer was deposited at  $\sim$ 498°C using a Ga flux of  $3.14\times10^{14}/\text{cm}^2/\text{s}$ , establishing a Ga:N flux ratio of  $\sim$ 1:1. Following buffer layer growth, the substrate temperature

was increased to  $\sim 660^{\circ}$ C for the deposition of the main GaN layer, which was grown for approximately 50 min to achieve a thickness of  $\sim 200$  nm. To remove residual surface Ga adatoms prior to Mn<sub>3</sub>Sn deposition, the substrate was annealed at  $\sim 851^{\circ}$ C for 90 min. The Mn and Sn effusion cell temperatures were adjusted to achieve fluxes of  $2.63 \times 10^{14}/\text{cm}^2/\text{s}$  and  $7.30 \times 10^{13}/\text{cm}^2/\text{s}$ , respectively, establishing a Mn:Sn atomic flux ratio of  $\sim 3:1$ . The substrate temperature was then reduced to  $\sim 300^{\circ}$ C for Mn<sub>3</sub>Sn growth, during which both Mn and Sn shutters were opened simultaneously for  $\sim 70$  min, resulting in a film thickness of  $\sim 200$  nm.

Post-growth structural analysis was performed *ex-situ* using high-resolution X-ray diffraction (XRD) on a Rigaku MiniFlex II benchtop XRD system. The resultant spectrum was calibrated from the sapphire (0001) substrate peak. Peak fitting was performed using Pseudo-Voigt fitting functions in order to extract best peak positions and peak widths.

To obtain chemical composition, Rutherford backscattering spectrometry (RBS) was per-100 formed on the sample using the 4.5 MV tandem Van de Graaf accelerator in the Edwards 101 Accelerator Laboratory at Ohio University. Because of the masses involved in this sample 102 - Ga, Mn, and Sn - various energies were tried to find one at which the three peaks could 103 be resolved as separate peaks. This occurred at 5.5 MeV (incident 4He++ particle beam 104 energy) scattered through an angle of 168°. To avoid channeling, the sample was oriented 105 with its surface normal at 7.5° relative to the incident beam and with the sample rotated on 106 the sample holder about 20° so that the major planes of the sample were not in the vertical 107 or horizontal relative to the beam. RBS was also performed at 2.2 MeV in order to compare 108 to the simulated spectrum from RUMP.[16] 109

Atomic force microscopy (AFM) measurements were performed using a ThermoMicroscopes Autoprobe CP research AFM system under ambient conditions. The cantilever oscillated near its resonant frequency of 320 kHz and interacted with the sample surface through
Van der Waals forces, with deflections detected via a laser beam reflected into a photodiode.
Variations in the reflected signal were converted into high-resolution surface topography.
Image flattening and quantitative morphological analyses were performed using the WSxM
software.[17]

#### 17 III. EXPERIMENTAL RESULTS

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#### A. Wurtzite GaN $(000\overline{1})$ growth on sapphire (0001)

The lattice mismatch between wurtzite  $GaN(000\overline{1})$  and basal plane  $Al_2O_3$  (0001) is well 119 known, as illustrated in Fig. 1(a), clearly showing the poor lattice matching when the two 120 materials have their crystalline [1120] axes aligned. Nevertheless, Al<sub>2</sub>O<sub>3</sub>(0001) (also known 121 as corundum), with lattice constants a=4.759 Å and c=12.992 Å,[14, 15] serves as a 122 widely adopted substrate for III-nitride heteroepitaxy owing to its high thermal stability, 123 chemical inertness, and ready commercial availability. The basal plane of sapphire presents 124 a hexagonal-like, but distorted, close-packed arrangement of O sublattice atoms, with  $1\times1$ 125 unit cell Al lattice points represented in Fig. 1(a) by large red-filled circles exhibiting 6-fold 126 rotational symmetry about the [0001] axis (Note: the Al atoms of Al<sub>2</sub>O<sub>3</sub> are arranged in 127 a rhombohedral pattern within the hexagonal framework, resulting in a periodic distortion 128 which repeats every 6 layers along the c-axis).[18, 19] In fact, due to the rhombohedral 129 structure, the nearest neighbor oxygen spacing in the bulk is just  $\sim$  2.748 Å, and the 130 hexagonal-like O sublattice (gray-filled circles) is actually 30°-rotated relative to the sapphire 131 unit cell lattice, as can be seen by comparing the red-fill circles hexagon with the gray-filled 132 circles hexagon. [20] Overlaid on the  $Al_2O_3$  (0001) surface lattice is the c-plane GaN 1×1 unit 133 cell lattice (a = 3.189 Å, c = 5.185 Å), shown as smaller solid blue circles and having a  $(000\overline{1})$ -134 polar Ga surface termination with triangular lattice symmetry. In-plane crystallographic 135 alignment between the Al<sub>2</sub>O<sub>3</sub> and GaN lattices is indicated by their parallel red and blue 136 direction vectors, respectively; for example, in this alignment, [1120] of Al<sub>2</sub>O<sub>3</sub> || [1120] of 137 GaN. In this case, there would be a large lattice mismatch of -33% between the GaN and 138 sapphire lattices. This mismatched alignment, if it occurred, would result in large interfacial 139 strain and would be energetically unfavorable. 140

The large mismatch problem can be partially solved if the crystalline axes of GaN are rotated 30° about the Al<sub>2</sub>O<sub>3</sub> [0001] axis as illustrated in Fig. 1(b). In this case, the [11 $\bar{2}$ 0] of GaN is now aligned with the [10 $\bar{1}$ 0] of Al<sub>2</sub>O<sub>3</sub>, and the [1 $\bar{1}$ 00] of GaN is now aligned with the [1 $\bar{2}$ 10] of Al<sub>2</sub>O<sub>3</sub> (Note: in the hexagonal Miller-Bravais index system, [1 $\bar{1}$ 00]  $\perp$  [11 $\bar{2}$ 0] whereas [10 $\bar{1}$ 0]  $\angle$ 30° [11 $\bar{2}$ 0]). The advantage of doing this is seen in that the lattice spacing for GaN is now much more closely matched to the lattice spacing of Al<sub>2</sub>O<sub>3</sub>, and this advantage was

emphasized in early GaN on sapphire growth papers. [21] Numerically, this works out to 147 equal (5.52 - 4.759)/4.759 = +16.0% lattice mismatch. Therefore, the mismatch is reduced 148 (in magnitude) by a factor of  $2\times$ , from -33.2% to +16.0%, while the strain switches sign 149 from tensile (negative strain) to compressive (positive strain). That this occurs upon GaN 150 growth on  $Al_2O_3$  (0001) is clearly seen in the RHEED patterns shown in Fig. 1(d-g). Here, 151 Fig. 1(d,e) show RHEED patterns for Al<sub>2</sub>O<sub>3</sub> (0001), while Fig. 1(f,g) show RHEED patterns 152 for GaN. We can see that the  $\langle 11\bar{2}0 \rangle$  and  $\langle 10\bar{1}0 \rangle$  crystal vector directions interchange going 153 from  $Al_2O_3$  to GaN. For example, the streak spacing ratio  $(1:\sqrt{3})$  for  $\langle 11\bar{2}0 \rangle$  relative to 154  $\langle 10\overline{1}0 \rangle$  becomes ( $\sqrt{3}$ :1) between Figs. 1(d,e) (Al<sub>2</sub>O<sub>3</sub>) and Figs. 1(f,g) (GaN). 155

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It has been well understood that the lattice mismatch problem is further reduced by 156 nitridation of the Al<sub>2</sub>O<sub>3</sub> (0001) substrate surface prior to GaN growth, for example using a radio frequency (RF) activated nitrogen plasma source (RF N-plasma). And the advantages 158 of nitridation for substrate preparation for GaN growth has been well discussed in the literature. [22–26] Heinlein et al. and others have discussed that plasma pre-conditioning 160 of the sapphire surface results in the formation of a surface nitride. [24] One of the earliest reports of Al<sub>2</sub>O<sub>3</sub> nitridation was by Kawakami et al. who used NH<sub>3</sub> gas to nitridate the 162 surface at 1200 °C prior to AlN growth with flat surface and good crystallinity. [27] Formation 163 of an AlN-like surface layer can be accomplished by substituting N atoms for O atoms in the first layer, and the N atoms bonding with both 1st and 2nd layer Al atoms. This is shown 165 in Fig. 1(c) where the AlN surface unit cell vectors lie at 30° with respect to Al<sub>2</sub>O<sub>3</sub> and 166 with increased atomic spacing, going from O-O distances of  $\sim 2.75$  Å to N-N (and Al-Al) distances closer to 3.11 Å. 168

The increased effective lattice constant may be attributed to the larger atomic radius of nitrogen (56 pm) relative to that of oxygen (48 pm), creating compressive strain within the surface. But it may also be related to the tetrahedral bonding favored by N atoms versus the octahedral bonding favored by O atoms. The increased *in-plane* lattice spacing is directly observed in the RHEED pattern shown in Fig. 1(d) by the fact that the streaks are unevenly spaced. This uneven spacing is an indication that the surface has been nitridated.

It is interesting to note a recent paper by Hütner et al. who present atomic resolution 175 images of high-temperature-annealed sapphire (0001) by means of atomic-resolution (qPlus 176 force sensor AFM) studies and combine that with computational modeling (density func-177 tional theory with machine learning).[28] They argue that the high-temperature-annealed 178

sapphire (0001) surface, which reconstructs upon annealing in vacuum (to  $\sim 1000^{\circ}$  or higher) 179 to a more energetically favorable  $\sqrt{31} \times \sqrt{31}$ )R±9° structure, is stabilized by increasing Al 180 coordination at the surface. They model the surface layer as being slightly oxygen deficient 181 (as compared to bulk) with an average surface Al-Al spacing of  $\sim 3.04$  Å,[28] and surface 182 O-O neighbors share the same, or in some cases smaller, spacing. However, the high temper-183 ature annealing conditions are quite different compared to the plasma nitridation used in our 184 study, and with the nitridated surface we do not observe the complex RHEED pattern seen 185 for the  $\sqrt{31} \times \sqrt{31}$ )R±9° reconstruction as seen by Smink et al.;[29] instead, our nitridated 186  $Al_2O_3$  (0001) surface has just a 1 × 1 structure. 187

A careful RHEED pattern analysis for the nitridated Al<sub>2</sub>O<sub>3</sub> surface and the overgrown 188 GaN surface are shown in Fig. 2. As shown in Fig. 2(a) and 2(b), the original  $Al_2O_3$ 189 streaks are still visible for both azimuths. Upon high-temperature (770 °C) annealing plus 190 nitridation of the sapphire substrate, an epitaxial AlN-like buffer layer is formed, as evi-191 denced by the emergence of new first-order RHEED streaks marked with cyan dashed lines 192 Fig. 2(a-b). The Al<sub>2</sub>O<sub>3</sub> streaks are indicated by the red dashed lines and labels, while the 193 AlN-like streaks are indicated by the cyan dashed lines and labels. The streak spacings are 194 given in terms of multiples of the reciprocal lattice spacings from fundamental formulas. 195 The Al<sub>2</sub>O<sub>3</sub> [11 $\bar{2}0$ :10 $\bar{1}0$ ] streak spacing ratio is 1: $\sqrt{3}$ , but the AlN-like streaks spacing ratio is 196 inverted to become  $\sqrt{3}$ : 1. This inversion of streak spacing, when viewed in the same crys-197 tallographic reference frame of the sapphire, directly indicates a 30° in-plane rotation of the 198 AlN epilayer relative to the sapphire crystalline lattice. And we conclude that the nitridated 199 surface has an effective (diagonal) lattice constant close to 5.272 Å and that the surface has 200 become AlN-like with a lattice spacing of  $3.044 \pm 0.028$  Å, not far from the value recently 201 reported by Nilsson et al. for homoepitaxial AlN layers grown by metalorganic chemical 202 vapor deposition at high temperatures,  $a_{AlN} = 3.11131 \pm 0.00016 \text{ Å}.[30]$ 203

The result is a nitridated substrate with a lattice mismatch of only  $\sim -4.55\%$  with respect to the GaN reported lattice constant (3.189 Å). GaN growth on the nitridated Al<sub>2</sub>O<sub>3</sub> (0001) proceeded under optimized conditions. The resulting RHEED streaks, marked by the dashed and labeled streaks in Fig. 2(c,d), are slightly closer together than those of the nitridated AlN-like lines, indicating coherent epitaxial growth with the same *in-plane* rotational alignment and resulting, for the thick layer of GaN, in a lattice constant  $a = 3.180 \pm 0.008$  Å, in excellent agreement with the accepted value (a = 3.189 Å). Collectively,

these results demonstrate the critical role of high-temperature annealing plus nitridation of the  $Al_2O_3$  substrate surface plus the *in-plane* 30° lattice rotation in accommodating lattice mismatch and enabling high-quality GaN heteroepitaxy.

#### B. Weyl semimetal Mn<sub>3</sub>Sn epitaxial growth on Wurtzite GaN

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Figure 3 presents a comparative lattice analysis illustrating possible epitaxial alignments 215 between kagome  $Mn_3Sn(0001)$  and wurtzite  $GaN(000\overline{1})$ . In the simple triangle-on-triangle 216 configuration seen in Fig. 3(a), the hexagonal Mn<sub>3</sub>Sn lattice (a = 5.665 Å), represented by an 217 array of large magenta circles, is aligned on top of the wurtzite GaN lattice (a = 3.189 Å), 218 represented by an array of smaller solid blue circles; here,  $Mn_3Sn$  [11 $\bar{2}0$ ] || GaN [11 $\bar{2}0$ ]. 219 However, this simple alignment would have an epitaxial lattice mismatch of approximately 220 -11.2%, and if it was accommodated by elastic strain, there would be large elastic tensile 221 strain which would be energetically unfavorable. 222 In exactly the same way that the mismatch is reduced for GaN on Al<sub>2</sub>O<sub>3</sub> (0001) by a 30° 223 lattice rotation, the mismatch between  $Mn_3Sn(0001)$  and  $GaN(000\bar{1})$  is reduced by rotating 224 the  $Mn_3Sn$  lattice  $30^{\circ}$  in-plane, as shown in Fig. 3(b), in which the [1120] direction of  $Mn_3Sn$ 225 is now aligned with the [1010] direction of GaN. In this orientation, Mn atoms (green dots) 226 in the kagome lattice at the ideal interface are located at bridge sites of the Ga lattice (blue 227 dots) of the last GaN bilayer. This rotated alignment is shown by the magenta and blue 228 direction vectors, where the  $[11\bar{2}0]$  of Mn<sub>3</sub>Sn is rotated 30° with respect to the  $[11\bar{2}0]$  of GaN. 229 Given the hexagonal symmetry, this rotation matches the a-spacing of Mn<sub>3</sub>Sn (5.665 Å) with the  $\sqrt{3}a$  spacing of GaN (5.524 Å), reducing the *in-plane* lattice mismatch to (5.665-5.524 231 Å)/5.524 Å = +2.63%. This rotational alignment significantly improves lattice match and 232 is anticipated to reduce interfacial strain energy. 233 The diagram shown in Fig. 3(c) illustrates the epitaxial alignment anticipated for the 234 full heterostructure stack, consisting of  $Mn_3Sn(0001)/GaN(000\bar{1})/Al_2O_3$  (0001). Since the 235 Mn<sub>3</sub>Sn lattice is rotated 30° to the GaN lattice and the GaN lattice is rotated 30° to the Al<sub>2</sub>O<sub>3</sub> lattice, the Mn<sub>3</sub>Sn lattice is aligned with the underlying Al<sub>2</sub>O<sub>3</sub> lattice. To show that 237

this definitely occurs during growth, Fig. 4 presents the evolution of the RHEED streak

patterns acquired during the entire epitaxial growth process for Mn<sub>3</sub>Sn/c-plane GaN/c-plane

 $Al_2O_3$ . The left and right panels correspond to the sapphire [11 $\bar{2}0$ ] and [10 $\bar{1}0$ ] azimuths,

respectively, probed using the 0° and 30° incident electron beam directions.

RHEED streak images of the nitridated  $Al_2O_3$  substrate shown in Figs. 4(a,b) display sharp streaks characteristic of a well-ordered surface. As mentioned, the 30° crystalline rotation is already present after the nitridation, and the GaN growth shown in Figs. 4(c,d) maintains crystalline alignment with the rotated AlN-like lattice of the nitridated Al<sub>2</sub>O<sub>3</sub> 245 surface. Just after opening the Mn and Sn shutters, nucleation of the Mn<sub>3</sub>Sn lattice occurs, 246 with the RHEED patterns shown in Figs. 4(e,f) exhibiting emerging streaky + spotty 247 Mn<sub>3</sub>Sn diffraction streaks indicating a fast transition to Mn<sub>3</sub>Sn but imperfect epitaxial 248 growth. After 3 minutes of deposition, the Mn<sub>3</sub>Sn RHEED signatures (Figs. 4(g,h)) become 249 even more spotty alongside the disappearance of some fractional spots seen initially. But 250 the later RHEED patterns, especially those obtained at the final thickness of  $\sim 200$  nm 251  $Mn_3Sn$ , and after cooling down to room temperature ( $T_{GS} \sim 19^{\circ}C$ ), exhibit well-defined 252 streaky streaks along both azimuths (Figs. 4(i,j)). Overall, the RHEED streak patterns 253 demonstrate a transition from an initial 3D growth mode to a 2D epitaxial growth mode in 254 the later stages of growth, for Mn<sub>3</sub>Sn on c-plane GaN, while maintaining lattice coherence 255 across the 2 heterointerfaces and with the final epitaxial orientation relationships as follows: 256  $[11\bar{2}0]_{Mn_3Sn} \parallel [10\bar{1}0]_{GaN} \parallel [11\bar{2}0]_{Al_2O_3}$ 257  $[10\bar{1}0]_{Mn_3Sn} \parallel [11\bar{2}0]_{GaN} \parallel [10\bar{1}0]_{Al_2O_3}$  $[0001]_{Mn_3Sn} \parallel [000\bar{1}]_{GaN} \parallel [0001]_{Al_2O_3}$ 259

A comparative RHEED analysis of the final Mn<sub>3</sub>Sn streak pattern with the GaN streak 260 pattern at 300K is presented in Fig. 5. The GaN surface exhibits well-defined RHEED 261 streaks along [1010] (Fig. 5(a) and [1120] Fig. 5(b)), and the first-order diffraction streaks 262 are delineated by dark blue dashed lines. (Note the weak  $5\times$  reconstruction streaks just 263 visible in the [1010] streak pattern (Fig. 5(a)); this may correspond to the Ga-polar  $5\times5$ 264 reconstruction as reported by Smith et al.;[31] MBE growth on nitridated Al<sub>2</sub>O<sub>3</sub> is expected 265 to give N-polarity, but Ga-polarity or mixed polarity could be caused by impurities.) The 266 final Mn<sub>3</sub>Sn surface exhibits sharp and regularly spaced first-order diffraction streaks which 267 are marked by dashed magenta lines. It can be seen that the Mn<sub>3</sub>Sn lines lie inside the 268 GaN lines (smaller k-value), corresponding to the a for Mn<sub>3</sub>Sn being  $\sim 2.5\%$  larger than  $\sqrt{3}a$  for GaN (5.524 Å). Using the GaN streak spacings for calibration, the *in-plane* lattice 270 parameters of Mn<sub>3</sub>Sn are measured to be 5.655 Å along [11 $\bar{2}$ 0] and 5.673 Å along [10 $\bar{1}$ 0]. 271 These numbers differ by only 0.32%, and their average (5.664 Å) differs by only -0.018%272

from the accepted Mn<sub>3</sub>Sn bulk c-plane value (a = 5.665 Å),[2, 4, 9] excellent agreement. The 0.32% difference between 11 $\bar{2}$ 0 and 10 $\bar{1}$ 0, and especially the -0.018% difference between the average and expected, are well within our RHEED system precision of  $\sim 0.43\%[32]$  and can be attributed to statistical error. Therefore, we cannot detect any *in-plane* strain.

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Another check we can do of the crystalline symmetry of the surface is to examine the full azimuthal RHEED map. Shown in Fig. 6 is the azimuthal map of the  $Mn_3Sn$  surface with full 360° rotational viewing. It is seen that there are just 2 characteristic patterns - [11 $\bar{2}0$ ] and [10 $\bar{1}0$ ] - which alternate every 30°. The patterns consistently show streaky streaks all around in every direction. (Note there are 2 blocked beam directions where we could not get a pattern.) The azimuthal symmetry is indicative of a high quality Weyl semimetal surface. The streaks indicate the surface is very smooth, in fact atomically smooth, and having the c-plane crystalline structure.

Figure 7 shows the XRD pattern of the Mn<sub>3</sub>Sn/GaN/Al<sub>2</sub>O<sub>3</sub> (0001) heterostructure film. 285 Three strong peaks, and a variety of tiny unknown peaks (u.p.'s), are clearly seen. The 286 four largest peaks, including the Al<sub>2</sub>O<sub>3</sub>, GaN, and Mn<sub>3</sub>Sn peaks plus u.p.4 to the left of the 287 Mn<sub>3</sub>Sn peak, were fit with Pseudo-Voigt fitting functions in order to extract peak centroids 288 and peak widths. The spectrum was first calibrated by setting the Al<sub>2</sub>O<sub>3</sub> 0006 peak to be 289 precisely at 41.720°, corresponding to an interplanar spacing of 2.165 Å (c = 6d = 12.992 Å) 290 in agreement with best accepted values for Al<sub>2</sub>O<sub>3</sub>.[14, 15]. After this, the GaN 0002 peak is 291 seen at the angle  $34.575^{\circ}$  corresponding to an interplanar spacing of 2.594~Å (c=5.189~Å) 292 which differs by only +0.077% from the well known accepted value (5.185 Å).[33] And then 293 the most prominent peak in the whole spectrum, the Mn<sub>3</sub>Sn 0002 peak, is seen at angle 294 39.810° corresponding to an interplanar spacing of 2.265 Å (c = 4.529 Å). This differs from 295 the expected value (c = 4.531 Å)[2, 4, 9] by only -0.044\%, much less than our estimated 296 peak uncertainty ( $\sigma$ ) of 0.57%, and therefore we cannot detect any out-of-plane strain. 297

The widths of the 3 main XRD peaks are characterized in terms of their FWHM values which, for Mn<sub>3</sub>Sn, GaN, and Al<sub>2</sub>O<sub>3</sub>, were calculated from the results of the Pseudo-Voigt fitting using the Thompson-Cox-Hastings formula, resulting in FWHM<sub>Mn3Sn</sub> = 0.56°, FWHM<sub>GaN</sub> = 0.54°, and FWHM<sub>Al2O3</sub> = 0.47°. We see that the Mn<sub>3</sub>Sn peak is almost as sharp as the GaN peak which is only a little less sharp compared to the Al<sub>2</sub>O<sub>3</sub> peak.

Unknown peaks present in the XRD spectrum include: u.p.1) a tiny peak near 31.1° which might correspond to a strained Mn<sub>3</sub>Sn 11 $\bar{2}$ 0 ideally expected at 31.59°; u.p.2) a tiny peak

near 35.8° which does not quite match the position for Mn<sub>3</sub>Sn 2020 expected at 36.64°; u.p.3)
a tiny peak near 37.5°; and u.p.4) a small and wide peak at 39.02° which could correspond
to a strained Mn<sub>2</sub>Sn 1120 expected at 40.00° corresponding to the lattice constant 4.508
Å as given in the Mn<sub>2</sub>Sn dataset from the Materials Project.[34] Aside from these residual
phase/orientations, the large amplitude of the primary Mn<sub>3</sub>Sn 0002 peak shows that the
film has predominant Mn<sub>3</sub>Sn (0001) crystallinity.

In fact, if we assume that the peak at  $39.02^{\circ}$  does correspond to  $Mn_2Sn$ , then the peak amplitudes can be used to estimate volume fractions for  $Mn_3Sn$  and  $Mn_2Sn$ . The results from the Pseudo-Voigt peak fitting then give  $Mn_3Sn$  fraction = 81.5% and  $Mn_2Sn$  fraction = 18.5%. These numbers can be compared to the RBS results.

At 5.5 MeV incident beam particle energy, the RBS spectrum was obtained, as seen in Fig. 8(a), in which the peaks are sufficiently separated to measure the number of counts in each peak. The numbers of counts were determined by numerical integration after background subtraction. The results were used to determine the ratio of Mn:Sn for the film as a whole which works out to  $2.85:1 \pm 4\%$ , with the error estimate based on  $\sqrt{N}$  statistics. We can use the volume fractions estimated from XRD to determine a weighted Mn:Sn ratio using the following formula:

$$Mn: Sn = (3:1)f_{Mn3Sn} + (2:1)f_{Mn2Sn}$$
 (1)

Using the XRD derived fractions (81.5%  $Mn_3Sn$  and 18.5%  $Mn_2Sn$ ), we estimate the Mn:Sn overall ratio in the film to be 2.82:1 which compares very well with the RBS overall ratio 2.85:1.

The ratio of Ga:Sn was determined to be 1.08:1 with an uncertainty of  $\sim 4\%$ . Using these 325 ratios, the 2.2 MeV incident beam energy RBS spectrum can be compared to a simulated 326 RBS spectrum using the RUMP software. [16] Although it is not possible to simulate this 327 sample in detail, the deviation from the spectrum for an ideal layered sample structure 328 indicates a 3-dimensional morphology. Combining the information from the 2.2 and 5.5 329 MeV spectra, it is possible to calculate the total Mn, Sn, and GaN contents of the sample, 330 and we find areal densities of  $\sigma_{Mn} = 9.00 \times 10^{17} \text{ atoms/cm}^2$ ,  $\sigma_{Sn} = 3.16 \times 10^{17} \text{ atoms/cm}^2$ , 331 and  $\sigma_{GaN} = 3.57 \times 10^{17}$  GaN molecules/cm<sup>2</sup>, respectively. Assuming lattice constant values 332 as measured from RHEED and XRD for the sample, these areal density numbers yield GaN 333 and M<sub>13</sub>Sn layer thicknesses of 81.1 nm and 198.8 nm, respectively. 334

Using AFM, we have imaged the Mn<sub>3</sub>Sn sample surface, and the images shown in 335 Fig. 9(a,b) reveal a mesa-valley growth morphology. The individual mesas suggest micro-336 crystalline growth structures with highly aligned crystalline orientations. Line sections are 337 plotted in Fig. 9(c-e) with successively smaller lengths. The line sections in Fig. 9(c,d) 338 show the steep sides of the mesa structures, extending from presumably the GaN surface to the mesa tops, but also their smooth tops. The line section in Fig. 9(e) shows the nanometer-scale flatness of the mesa tops, suggesting that the mesa tops are atomically 341 smooth. Although overall the film has large rms roughness (44 nm) on account of the mesa-342 valley morphology, not only do the micro-crystallites have typically very flat mesa tops, but 343 also their *in-plane* shapes reflect the hexagonal *in-plane* crystalline structure of Mn<sub>3</sub>Sn(0001). 344 For example, one cay see clearly hexagonal side edges with 3 characteristic edge directions, 345 opposite edges being parallel to each other, as indicated in Fig. 9(f). This indicates that 346 the mesa structures have highly *in-plane* and *out-of-plane* crystalline alignments. 347

A zoom-in to one of the flat  $Mn_3Sn$  (0001) mesa tops was acquired with UHV-STM showing an atomically smooth surface with atomic height steps as seen in Fig. 10(a). This image was acquired in constant tunneling current mode. One sees in the image an atomically flat region at the top terrace together with atomic steps running from upper left to lower middle of the image area. As indicated by the line profile displayed in Fig. 10(b), the heights of the steps are consistent with monolayers of  $Mn_3Sn$  of height c/2 (2.264 Å).

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The implications of the observed morphology as revealed by AFM and in-situ UHV-354 STM are that while the Mn<sub>3</sub>Sn (0001) on c-plane w-GaN grows epitaxially smoothly in the 355 later stages of growth, there exists at the onset of growth a low density of Mn<sub>3</sub>Sn (0001) 356 nucleation sites rather than a uniform nucleation layer, leading to the formation of the mesa-357 valley morphology we see. This implies the existence of epitaxial columnar growth which is 358 characterized by discreet, well-aligned crystalline islands, atomically-smooth mesa tops, but 359 deep valleys indicating a lack of island coalescence. [35] In our case, the (0001) Mn<sub>3</sub>Sn surface is energetically favorable as evidenced by the atomically smooth AFM and STM images of the mesa tops suggesting high surface atom diffusion. To further improve the overall film 362 morphology, we would need to do further growth studies aimed at inducing a much higher 363 density of nucleation sites, possibly using a low-temperature buffer layer method.

#### 55 IV. CONCLUSIONS AND OUTLOOK

It can be concluded that the growth procedures used here result in epitaxial columnar 366 growth of Weyl semimetal Mn<sub>3</sub>Sn(0001) thin films with smooth mesa-top surfaces, showing that wurtzite  $GaN/Al_2O_3$  (0001) is an ideal substrate for the growth of  $Mn_3Sn$  (0001). The 368 measured lattice constants for the Mn<sub>3</sub>Sn thin film (a = 5.664 Å and c = 4.529 Å are in 369 excellent agreement with expected relaxed values (-0.018% diff in-plane and -0.044% diff 370 out-of-plane, respectively), with the differences being smaller than the measurement uncer-371 tainties. Consequently, we cannot determine that any strain exists in these films prepared 372 in this way. These results are very good and show outstanding promise for both spintronic 373 applications and fundamental properties studies including surface investigations of pristine 374  $Mn_3Sn$  (0001) surfaces. 375

The open questions remaining include a) whether or not there is any causal relationship between the observed epitaxial columnar growth mode and the strain-free film; b) what are the detailed atomic models for the interfaces between Mn<sub>3</sub>Sn (0001) and c-plane wurtzite GaN; and c) how to achieve closer to 100% phase purity. As the RBS data suggests that the sample overall is Mn-poor with respect to an ideal 3:1 stoichiometry, therefore a higher Mn flux during growth could potentially improve the phase purity. And more work should be done to clearly determine the effect of wurtzite GaN lattice polarity on the growth of Mn<sub>3</sub>Sn (0001) layers.

#### 84 V. ACKNOWLEDGMENTS

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#### 392 VI. DATA AVAILABILITY

- The data supporting this study's findings are openly available in Zenodo at:
- 10.5281/zenodo.17552646.

## 395 VII. DECLARATION OF GENERATIVE AI AND AI-ASSISTED TECHNOLO-

### GIES IN THE MANUSCRIPT PREPARATION PROCESS

During the preparation of this work, the authors used Microsoft Copilot in order to search for some of the references and to format the references in AIP style. Copilot was also used to search for scientific information including methods. Copilot was not used to draft any portions of the manuscript nor to revise the manuscript. After using this tool/service, the authors reviewed and edited the content as needed and take full responsibility for the content of the published article.

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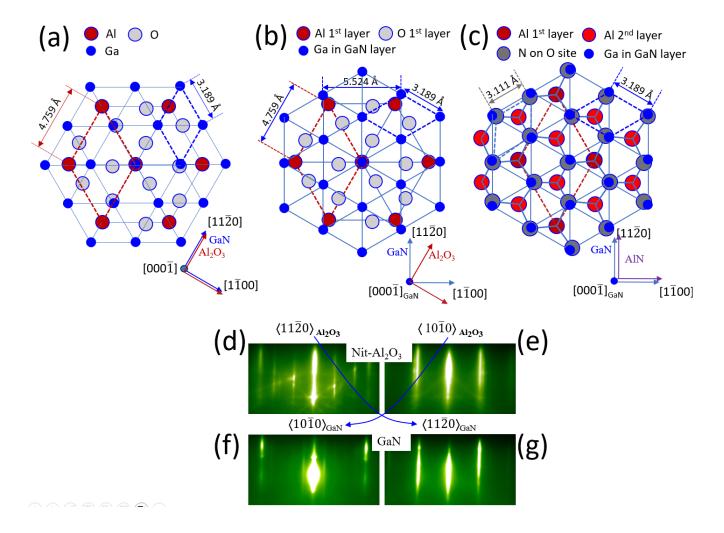


FIG. 1. Modeling GaN growth on sapphire (a) without nitridation and (b) with nitridation of sapphire surface. (a) GaN lattice  $(a_{\text{GaN}(0001)} = 3.189 \text{ Å})$  on sapphire lattice  $(a_{\text{Al}_2\text{O}_3(0001)} = 4.759 \text{ Å})$ , illustrating a lattice mismatch of -33.0%; sapphire and GaN surface unit cells are shown by dashed lines. Only 1st layer Al sites are shown to indicate the surface unit cell lattice of  $\text{Al}_2\text{O}_3$ ; the O sublattice is 30° misaligned to the Al sublattice as well as with the Ga (of GaN) sublattice overlaid; (b)  $\text{GaN}(000\bar{1})$  lattice rotated 30° on  $\text{Al}_2\text{O}_3$  (0001) reduces the lattice mismatch to +16.0% with  $a_{Al_2O_3(0001)} = 4.759 \text{ Å}$  and  $\sqrt{3}$   $a_{\text{GaN}(0001)} = 5.524 \text{ Å}$ ; sapphire and GaN surface unit cells are shown by dashed lines; (c) after rf plasma nitridation of the  $\text{Al}_2\text{O}_3$  substrate surface, illustrating the formation of an AlN-like surface and subsequent reduction of lattice mismatch to +2.49% with  $a_{\text{AlN}(0001)} = 3.111 \text{ Å}$ ; both 1st and 2nd layer Al atom are shown as well as N atoms on O sites; sapphire, AlN, and GaN surface unit cells are shown by dashed lines; (d,e) RHEED patterns of nitridated  $\text{Al}_2\text{O}_3$  surface before GaN growth; and (f,g) RHEED patterns along the same beam directions after GaN growth.

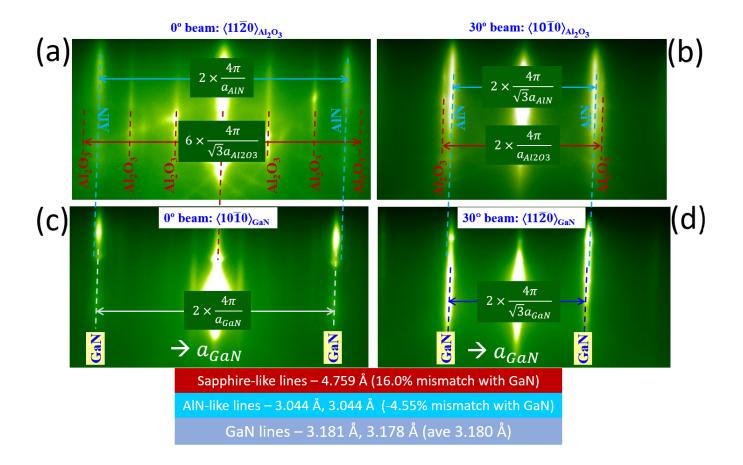


FIG. 2. RHEED patterns before (a,b) and after (c,d) GaN growth on nitridated Al<sub>2</sub>O<sub>3</sub> (0001). (a,b) RHEED shows 1st-order primary streaks corresponding to original Al<sub>2</sub>O<sub>3</sub> (0001) (red dashed lines) lattice but also 1st-order AlN-like streaks (cyan dashed lines) indicating a 30° lattice rotation; (c,d) overgrown GaN RHEED streaks slightly closer together compared to the AlN streaks. Reciprocal lattice streak spacings given in terms of inverse lattice constants.

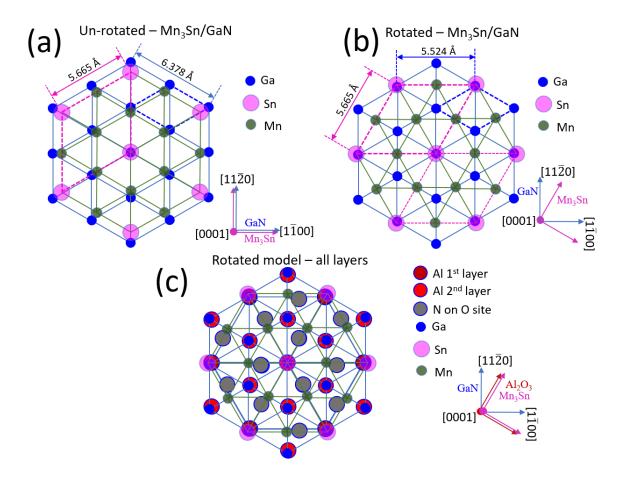


FIG. 3. Growth schematic of  $Mn_3Sn$  (0001) on c-plane GaN with (a) in-plane axes aligned resulting in -11.2% lattice mismatch with  $a_{Mn_3Sn(0001)} = 5.665$  Å and  $2 \times a_{GaN(0001)} = 2 \times 3.189$  Å = 6.378 Å; GaN and  $Mn_3Sn$  surface unit cells are shown by dashed lines; (b) With in-plane 30° rotation on c-plane GaN, reducing the lattice mismatch to +2.63%; GaN and  $Mn_3Sn$  surface unit cells are shown by dashed lines; (c) combined schematic lattice overlay of c-plane  $Mn_3Sn$  on GaN on nitridated sapphire.

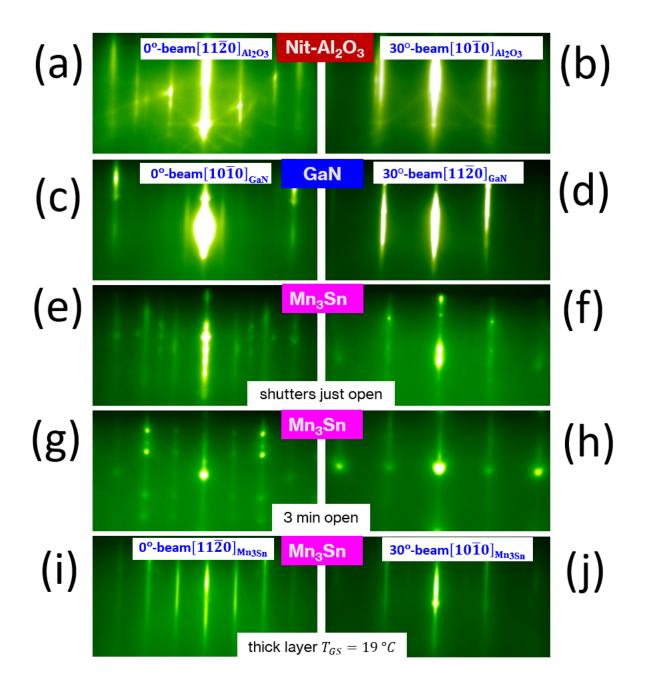


FIG. 4. RHEED patterns captured during epitaxial growth of Mn<sub>3</sub>Sn (0001) on c-plane GaN on Al<sub>2</sub>O<sub>3</sub> (0001): (a,b) nitridated Al<sub>2</sub>O<sub>3</sub> substrate; (c,d) GaN after growth of a thick layer; (e-h) Mn<sub>3</sub>Sn during the initial stages of growth; (i,j) Mn<sub>3</sub>Sn after growth of a thick layer and cooling to room temperature.

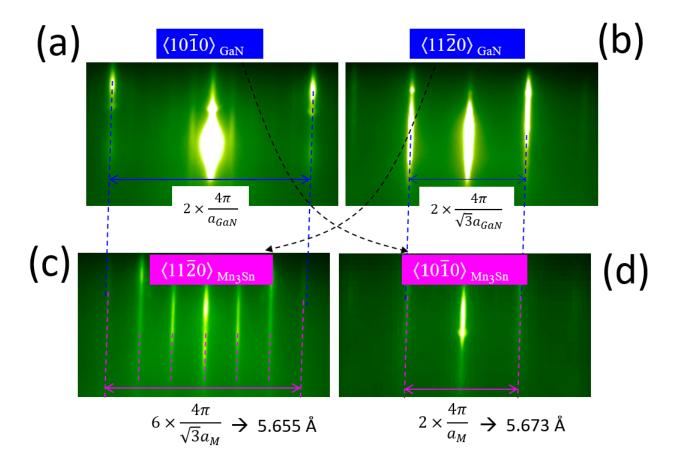


FIG. 5. RHEED patterns of c-plane GaN and Mn<sub>3</sub>Sn films along different azimuths. (a,b) RHEED patterns of c-plane GaN before the growth of Mn<sub>3</sub>Sn; (c,d) RHEED patterns after the completed growth of Mn<sub>3</sub>Sn, where it is observed that the crystal lattice vector directions have interchanged. Measured *in-plane* lattice spacings are indicated.

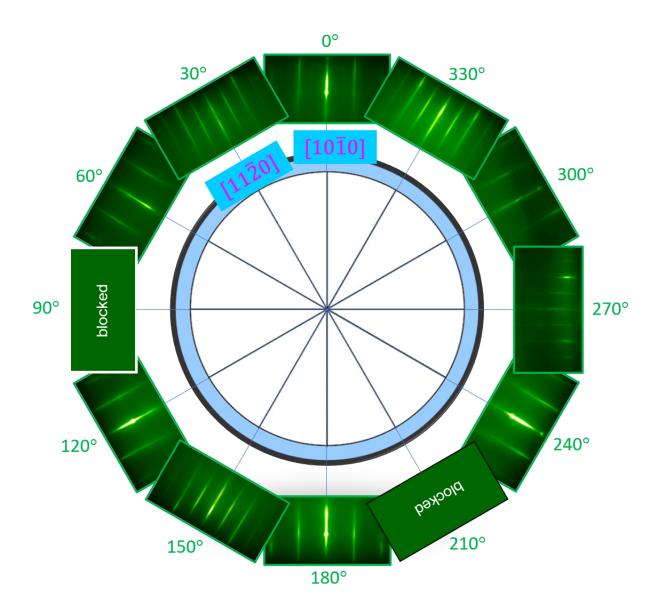


FIG. 6. 360° RHEED azimuth map for  $Mn_3Sn$  (0001). The patterns exhibit well-defined streaks, indicative of a well-ordered crystalline surface. A clear six-fold rotational symmetry is observed, consistent with the hexagonal crystal structure of  $Mn_3Sn$ . The primary crystallographic orientations, [11 $\bar{2}0$ ] and [10 $\bar{1}0$ ], are marked for reference. The 90° and 210° azimuths are blocked by the sample holder clips.

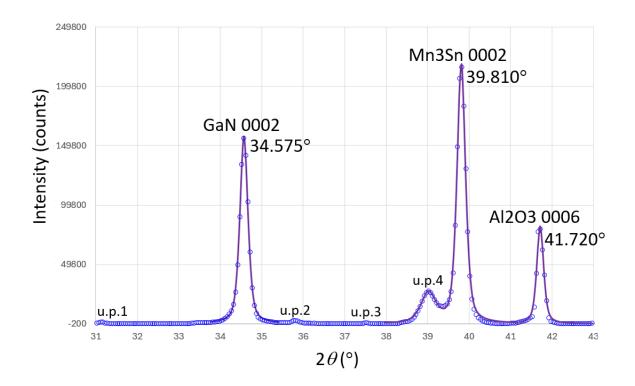


FIG. 7. X-ray diffraction pattern of  $Mn_3Sn/c$ -plane  $GaN/Al_2O_3$ . The primary peaks seen are the 0002 for  $Mn_3Sn$  (magenta label), the 0002 for GaN (blue label), and the 0006 for  $Al_2O_3$  (black label). A small  $11\bar{2}0$  peak for  $Mn_2Sn$  is also seen along with very small  $11\bar{2}0$  and  $20\bar{2}0$   $Mn_3Sn$  peaks. One other peak near  $37.5^\circ$  is unidentified (Note the log scale).

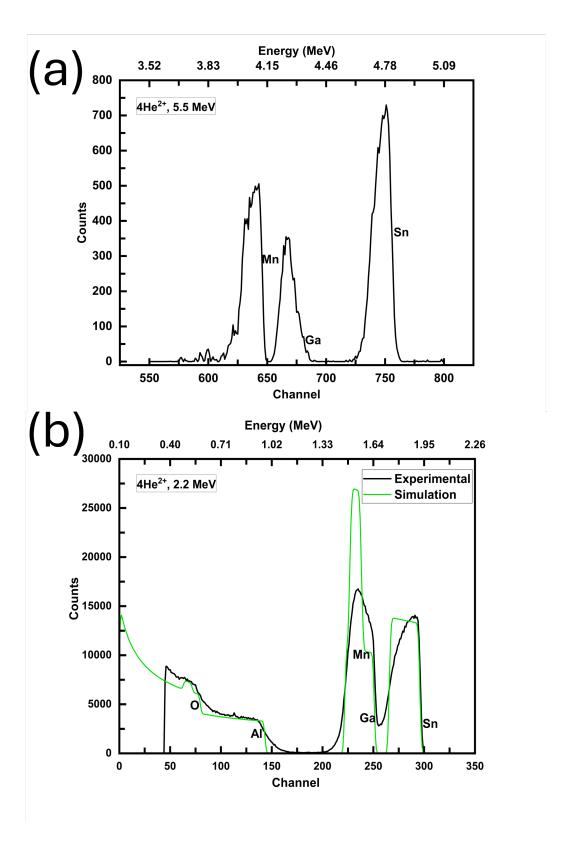


FIG. 8. (a) RBS data at 5.5 MeV showing the separated peaks for Mn, Ga, and Sn; (b) RBS data at 2.2 MeV together with a RUMP simulation.

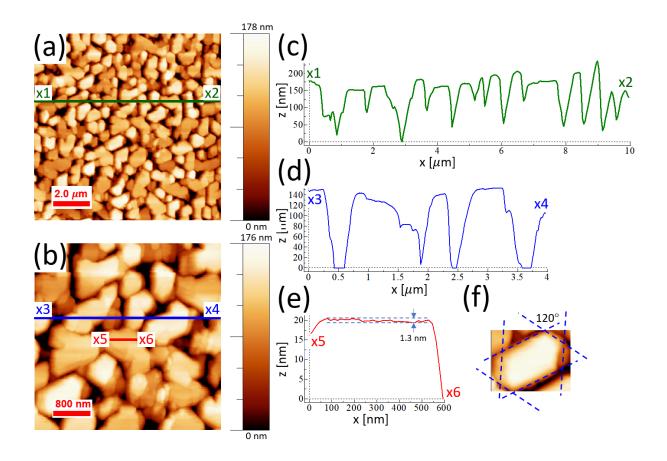


FIG. 9. (a) AFM images acquired ex-situ after growth and removing from the MBE chamber. a) 10 micron size image; b) 4 micron size image, zooming in on mesa-valley topography; (c) line profile (green) from x1 to x2, corresponding to profile line (blue) shown from image in (a); (d) line profile (blue) from x3 to x4, corresponding to profile line (blue) from image in (b); (e) line profile (red) from x5 to x6, corresponding to profile line (red) from image in (b); (f) zoom-in view of one mesa top, revealing the 120°-angled side edges of the hexagonal growth structure.

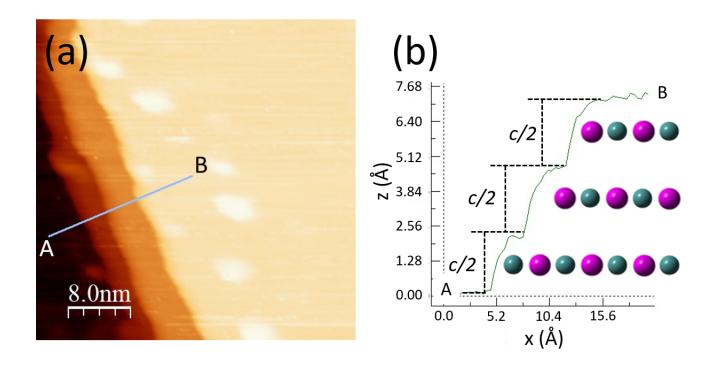


FIG. 10. (a) In-situ UHV-STM image of Mn<sub>3</sub>Sn/GaN/Al<sub>2</sub>O<sub>3</sub> showing atomically smooth terraces and atomic layer height steps. The cross-section line profile A-B at the gray line is displayed in (b); model atoms are not to scale.