1	Convoluted nasal passages function as efficient heat exchangers in ankylosaurs
2	(Dinosauria: Ornithischia: Thyreophora)
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20 Abstract

21 Convoluted nasal passages are an enigmatic hallmark of Ankylosauria. Previous research 22 suggested that these convoluted nasal passages functioned as heat exchangers analogous to the 23 respiratory turbinates of mammals and birds. We tested this hypothesis by performing a 24 computational fluid dynamic analysis on the nasal passages of two ankylosaurs: *Panoplosaurus* 25 mirus and Euoplocephalus tutus. Our models predicted that Panoplosaurus and Euoplocephalus 26 would have required 833 and 1568 thermal calories, respectively, to warm a single breath of air 27 by 20°C. Heat recovery during exhalation resulted in energy savings of 65% for *Panoplosaurus* 28 and 84% for Euoplocephalus. Our results fell well within the range of values for heat and water 29 savings observed in extant terrestrial amniotes. We further tested alternate airway reconstructions 30 that removed nasal passage convolutions or reduced nasal vestibule length. Our results revealed 31 that the extensive elaboration observed in the nasal vestibules of ankylosaurs was a viable 32 alternative to respiratory turbinates with regards to air conditioning. Of the two dinosaurs tested, 33 *Euoplocephalus* repeatedly exhibited a more efficient nasal passage than *Panoplosaurus*. We 34 suggest that the higher heat loads associated with the larger body mass of *Euoplocephalus* 35 necessitated these more efficient nasal passages. Our findings further indicate that the evolution 36 of complicated airways in dinosaurs may have been driven by the thermal requirements of 37 maintaining cerebral thermal homeostasis.

39 Introduction

40 Ankylosaurs were a successful group of ornithischian dinosaurs that had a near global 41 distribution throughout the Cretaceous [1]. Ankylosaurs are best known for their well-armored 42 hides, afforded by extensive osteoderm coverage across the back, sides, and tail, as well as the 43 head [1–3]. In members of Ankylosauridae, these osteoderms continued into the tail where they 44 ended in an expanded and ankylosed tail club. The process behind dermal ossification in 45 ankylosaurs has attracted much interest over the years [4–7]. The mechanism behind the 46 mineralization of soft tissues into a toughened, armored hide appears to have been somatically 47 global, resulting in the mineralization of other epidermal and cartilaginous structures within the 48 body, potentially including the eyelids in Euoplocephalus tutus [8]. This tendency to mineralize 49 these soft tissues extended into the nasal passage where Maryańska [9] first observed bony or 50 mineralized structures in the nose of *Pinacosaurus grangeri* that were typically cartilaginous or 51 mucosal in other clades. She interpreted these structures within the nasal cavity of *P. grangeri* 52 and other ankylosaurs as turbinates or conchae [9,10]. Brown [11] was perhaps the first to report 53 the complexity of the nasal cavities of ankylosaurs, pointing to a symmetrical series of air spaces 54 in the snout of Ankylosaurus magniventris. Coombs [12] later uncovered a similarly elaborate 55 series of chambers within the nasal cavity of *E. tutus* which he interpreted as an extensive set of 56 paranasal sinuses surrounding the nasal capsule [12–14]. Osmólska [15] suggested a rostral 57 placement of the nasal gland in ankylosaurs based on a sectioned-off recess within the nasal 58 vestibule of *P. grangeri*. Later work challenged this interpretation in favor of an enlarged 59 paranasal sinus system [16] somewhat akin to that described by Coombs for *Euoplocephalus*. 60 Similar observations of paranasal pneumaticity were suggested for other ankylosaurs as well 61 based on the extensive excavations repeatedly uncovered within the nasal cavities of these

dinosaurs [14,17–19]. It was only after a detailed computed tomographic (CT) scan of various
ankylosaur skulls that it became evident that many of the structures initially interpreted as
running parallel to a rather simplistic respiratory passage were in fact parts of bony laminae that
braced and separated loops of a remarkably complicated nasal vestibule ([20,21], Fig. 1).

66

Fig 1. Cranium and nasal passage of the two ankylosaur species used for this study. The
nodosaurid, *Panoplosaurus mirus*, ROM 1215 (A) and the ankylosaurid, *Euoplocephalus tutus*,
AMNH 5405 (B). Non-modeled nasal passages are shown in greyscale.

70

71 Such a complicated structure begs for a functional explanation. The nasal passage in 72 extant animals offers a variety of functions that amniotes have emphasized in different ways. The 73 nasal passage is a large component of the conducting portion of the respiratory system [22], 74 delivering air from the environment to the lungs. The nasal passage functions in modulating air 75 coming into the lungs by filtering out dust and pathogens. Only a specific portion of the nasal 76 passage functions in odorant detection. The requirements of olfaction run counter to the need to 77 oxygenate the body, requiring regional separation of the nasal passage into a respiratory region 78 and a slower moving olfactory region. The latter region may be expanded into a blind space 79 referred to as the olfactory recess or olfactory chamber in macrosmatic species such as dogs and 80 crocodylians [23,24]. The nasal passage offers a secondary function in phonation, providing 81 resonance to sound waves coming from the pharynx and even acting as a primary means of 82 sound production in certain animals such as saiga antelope and male gharials [25–27]. Lastly, the 83 nasal passage functions to condition respired air by warming and humidifying it upon inspiration 84 and then cooling and drying it on expiration. This conditioning capacity of the nasal passage

received extensive study in the latter part of the 20th century [28–33], especially in relation to
respiratory turbinates or conchae and their association with the evolution of tachymetabolic
endothermy [34–39].

88 Witmer and Ridgely [20] briefly suggested that the elongated airways of ankylosaurs may 89 have functioned in thermoregulation or in vocal resonance. Miyashita et al. [21] expanded on 90 this argument, offering evidence against an olfactory explanation and for either a 91 thermoregulatory or vocal resonance function. Regarding the former, Miyashita et al. 92 [21] described the extensive surface area that a looping nasal passage offers, and coupled this 93 enhanced surface area with evidence for extensive vascular irrigation surrounding the elongated 94 nasal vestibule [20,21]. Such a combination would have resulted in heat transfer from the body 95 to the air regardless of whether or not this was the primary function of the nasal passage [21]. 96 However, until now, the thermoregulatory function of these elaborate nasal passages had been 97 inferred solely based on anatomy.

98 We tested the functional hypothesis that the nasal passage in ankylosaurs was an efficient 99 heat exchanger by modeling the airways of two different ankylosaurs; a nodosaurid and an 100 ankylosaurid. To simulate the flow of heat between the nasal passage and the air, we performed a 101 computational fluid dynamic (CFD) analysis. Digital simulation of fluid movement via CFD is 102 routinely performed in the fields of aeronautics [40], automobile engineering [41], and building 103 ventilation [42]. CFD has been successfully applied to biological problems, especially in the 104 realm of biomedicine [43,44], and has been successfully used to simulate airflow in the nasal 105 passages of extant animals [45,46]. Using digital models alleviates the costs associated with 106 physical models, such as choice of material, as well as the costs and complications associated 107 with physical experiments such as flume systems and wind tunnels. Digital simulation of fluid

108 movement via CFD provides a cost-effective means of testing multiple flow speeds, which is 109 difficult to obtain *in vivo* for extant animals and impossible for long-extinct taxa. An added 110 benefit of digital simulations is that they provide the opportunity to digitally manipulate the nasal 111 passages which allowed us to test the preserved airways against soft-tissue-corrected versions. 112 This approach allowed us to perform secondary analyses that tested the effects of length and 113 convolutions using digitally manipulated airways that were either shortened or straightened (Fig. 114 2). In addition to general airflow characteristics, we modeled the heat-transfer potential within 115 the nasal passages of these two dinosaurs. General energetic costs associated with heating and 116 humidifying the airway were calculated based on equations from modern birds. Results were 117 compared to each other and to previously published results on extant animals to determine if the 118 effectiveness of the nasal passage in ankylosaurs was within the range observed in extant 119 animals. We were particularly interested in seeing how well a non-turbinate filled nasal passage 120 would compare to the extensive, turbinate-filled noses of most extant mammals and birds. 121 To ensure that our methods were sound, we performed a validation experiment using a

122 digitized pigeon airway and compared our results to previously published results in the literature.

123 Institutional Abbreviations

AMNH, American Museum of Natural History, New York, USA; CMN, Canadian
Museum of Nature, Ottawa, CA; ROM, Royal Ontario Museum, Ontario, CA; ZPAL, Institute of
Paleobiology (Zaklad Paleobiologii) of the Polish Academy of Sciences, Warsaw, PL.

127 Anatomical Abbreviations

a nas, apertura nasalis; air, airway; ant sin, antorbital sinus; bone, bone of nasal cavity; bna, bony
narial aperture; cap, cartilaginous nasal capsule; ch, choana; ch f, choanal fossa; ch fd, choanal

130	fold; ch fp, choanal flap; ch g, choanal groove; cnp, cavum nasi proprium; co, concha; ept,
131	ectopterygoid; f ex, fenestra exochoanalis; j, jugal; lam, lamina transversa; max, maxilla; mnca,
132	median nasal caputegulum; mu, mucosa; nar, naris; ns, nasal; nvas, neurovasculature; olf,
133	olfactory recess; olf turb, olfactory turbinate; p2, secondary palate; pal, palatine; pmax,
134	premaxilla; pt, pterygoid; q, quadrate; tr, tracheal extension; turb, turbinate; v, vomer; vest, nasal
135	vestibule.
136	
137	Fig. 2. Summary of models analyzed in this study. Panoplosaurus (A,B,E,F) and
138	Euoplocephalus (C,D,G,H) nasal passages were modeled as preserved, or bony-bounded (A,C),
139	with soft-tissue correction (B,D), simplified (E,G) or with all convolutions removed (F,H). See
140	Methods section for specifics for each model.

141

Results 142

Validation test 143

144 During inspiration, the nasal passage of our pigeon model warmed incoming air by 22°C, 145 bringing the air field close to body temperature by the time it reached the throat. Subsequently, a 146 22°C drop in temperature was applied to the nasal walls of the expiration model. To test heat 147 transfer during expiration, air within the trachea was set to a temperature of 38°C, reflecting 148 empirically obtained data on tracheal temperature in pigeons during expiration [38]. The 149 converged expiration model revealed an air temperature drop from 38°C to 21.6°C at the nostril 150 (Fig. 3). This 16.4°C drop in temperature was within the range of values obtained by Geist ([38], 151 Table 1), suggesting that our methodology was producing results similar to *in vivo* animal

152 experiments.

153 Table 1. Comparison of values for heat transfer in domestic pigeons (*Columba livia*)

Study	Mass (g)	Body temp (°C)	Ambient air (°C)	Oral temp (°C)	Exhaled temp (°C)
38	319 (+/- 45.2)	40.7	15	38.2 +/- 0.5	21.4 +/- 0.5
This study	455	40.7	15	38	21.6

154 between experimental data [38] and simulation (this study)

155

156 Fig 3. Airflow and heat transfer within the left nasal passage of a pigeon (*Columba livia*).

(A) Airway was segmented out from the head and (B) converted into a volumetric mesh for CFD
analysis following methods in the text. (C) Heat transfer simulation was performed under an
inspiratory flow condition and data from that simulation was used to inform (D) the expiratory
flow conditions. Artificial laryngotracheal extension was omitted in C & D as no data from that
region was used.

162 Panoplosaurus mirus

163 Bony-Bounded (BB) airway (Fig 2A)

164 Under the high flow rate condition, the BB airway was able to successfully increase 165 inspired air temperature by 18.2°C (Fig. 4). Most (93%) of this heating took place inside the 166 elongate nasal vestibule. The relative humidity of the inspired air reached saturation prior to 167 entering the cavum nasi proprium (CNP). The larger volume of the nasal passage produced 168 slower-moving air with a fair amount of vorticity present inside the CNP. Inspired air left the 169 choana at 33.2°C. During expiration, air left the nostrils at 22.7°C. Under the low flow rate 170 condition, the BB nasal passage was able to warm inspired air to 18.6°C, with 92% of airway 171 heating taking place within the nasal vestibule. Moisture content of the air achieved saturation earlier than in the high flow condition. The low flow rate condition exhibited more laminar
airflow compared to the more turbulent high flow condition. On expiration, the low flow
condition BB airway reduced air temperature down to 20.5°C prior to exiting the nostrils.

Fig 4 Heat flow within the BB nasal passage of *Panoplosaurus mirus* (ROM 1215) during
inspiration under both high (left) and low (right) flow scenarios. Numbers of dotted lines
indicate cross-section numbers. Cross sections were taken at equivalent locations on both
models.

180

181 Soft-Tissue (ST) airway (Fig. 2B)

182 Under the high flow rate condition, the nasal passage of *Panoplosaurus* was able to heat 183 inspired air by 17.9°C. The convoluted nasal vestibule was responsible for the majority of the 184 heat transfer (94%, Fig. 5). Similarly, relative humidity of the inspired air had reached saturation 185 prior to entering the CNP. Air left the choana at 32.9°C. Vortices were observed in the first 186 portion of the nasal vestibule (rostral loop of Witmer & Ridgely [20]) as well as the CNP near 187 the olfactory recess. Upon expiration, air entered the choana at 35°C and left the nostril at 188 21.6°C. Expiratory flow was more laminar than inspiratory flow, with very few vortices observed throughout the nasal passage. Under the low flow rate condition, the nasal passage of 189 190 Panoplosaurus warmed inspired air by 19.3°C. Similar to the high flow rate condition, most of 191 the heat transfer (89%), and all of the moisture transfer, occurred within the convoluted nasal 192 vestibule (Fig. 5). The CNP contributed more to airway heating under this scenario than under 193 the high flow rate condition. Similar vortices were observed in the low flow rate condition as in

the high flow rate condition (Fig. 5). On expiration, air in the low flow rate condition left the
nostril at 19.5°C.

196

197 Fig 5. Heat flow within the soft-tissue corrected nasal passage of *Panoplosaurus mirus*

198 (ROM 1215) during inspiration under both high (left) and low (right) flow scenarios.

199 Numbers of dotted lines indicate cross-section numbers. Cross sections were taken at equivalent200 locations on both models.

201

202 Basic airway (Fig 2E)

203 The basic airway consisted of a plesiomorphic, truncated nasal vestibule that extended in 204 a straight line from the nostril to the opening of the CNP (Fig. 6). The total length of this 205 simplified nasal vestibule was 200 mm. This reduced the length of the original 440 mm nasal 206 vestibule by 55%. As this basic airway was strictly a hypothetical construct, we only ran the 207 model under the more conservative, Reversed-Reynolds condition (see Methods). The lower 208 flow rate associated with this condition provided the shorter airway with the best opportunity to 209 transfer heat from the nasal passage. Despite this lower flow rate, the basic airway had difficulty 210 transferring a substantial amount of heat from the nasal passage to the inspired air. On the outset, 211 this difficulty was not entirely clear as the entire nasal passage was able to warm inspired air by 212 17.6°C (Fig. 6) and achieve moisture saturation. However, only 63% of the heat exchange took 213 place inside the truncated nasal vestibule. This was evident upon examining the temperature 214 distribution through the nasal passage (Fig. 6). Sagittal cross sections of the nasal passage 215 revealed a consistent, low-temperature central stream of air that traveled through the nasal 216 vestibule and remained largely unchanged by the surrounding nasal mucosa. This resulted in a

cool stream of air entering the CNP (Fig. 6A). Placing a greater emphasis on the CNP to heat the
remainder of the air field proved detrimental to heat savings as the final expired air temperature
at the nostril was a relatively high 26.5°C (Fig. 6B).

220

Fig 6. Airflow through the basic airway of *Panoplosaurus mirus* (ROM 1215). (A) Left

222 lateral view of nasal passage with air streams showing general air field pattern. Airway is color-

223 coded for temperature (hotter colors = hotter temperatures. *Inset:* Sagittal cross section of the

nasal vestibule and CNP showing central stream of cool air passing through the nasal vestibule.

(B) Temperature at the nostril during expiration for the basic airway and the ST airway.

226

227 Straightened airway (Fig 2F)

Removing the curvature from the lengthened nasal vestibule ameliorated the vorticity observed in the BB and ST airway models. Under the low flow condition, the straightened airway warmed air by 18.3°C prior to leaving through the choana (Fig. 7). 78% of that heating occurred in the much-elongated nasal vestibule. Similarly, the elongated vestibule was able to completely saturate the inspired air field prior to reaching the CNP, as in the ST and BB airway models. On expiration, the nasal passage reduced the heat of the expired air by 11°C, resulting in expired air leaving the nostril at 23.9°C.

235

Fig 7. Airflow comparison between the straightened airway and the ST airway in

Panoplosaurus mirus (ROM 1215). (A) Dorsal view of the skull of *P. mirus* with left ST airway
in situ. (B) Dorsal view of the straightened airway with flow lines in place. Airflow lines are

color-coded for temperature (hotter colors = hotter temperatures). *Inset:* Magnified region of
nasal vestibule showing evenly spaced, straight flow lines. (C) Dorsal view of the ST airway
under the low flow scenario. Vorticity is observable throughout the nasal vestibule. Note: ST
airway in C is not to scale with straightened airway B.

243 Euoplocephalus tutus

244 Bony-Bounded (BB) airway (Fig2C)

245 Under the high flow rate condition, the BB airway was able to warm inspired air by 246 18.8°C, with 82% of that heating taking place within the confines of the nasal vestibule (Fig. 8). 247 Relative humidity of inspired air reached saturation by the time it reached the caudal loop of the 248 nasal vestibule. Vorticity was evident in most of the bends of the nasal vestibule as well as inside 249 the spacious CNP. On expiration, the nasal passage reduced the expired air temperature by 250 13.9°C, resulting in air leaving the nostrils at 21.1°C. Under the low flow rate condition, the BB 251 airway warmed inspired air by 18.8°C, with 88% of the heat exchange and 100% of the moisture 252 exchange occurring inside the convoluted nasal vestibule (Fig. 8). Despite a lower flow rate, 253 standing vortices along the curves of the nasal vestibule were still present. On expiration at this 254 low flow rate, the nasal passage was able to reduce the temperature of expired air by 15.6°C, 255 resulting in air leaving the nostrils at 19.4°C.

256

Fig 8. Heat flow within the BB nasal passage of *Euoplocephalus tutus* (AMNH 5405) during
inspiration under both high (left) and low (right) flow scenarios. Numbers of dotted lines
indicate cross-section numbers. Cross sections were taken at equivalent locations on both
models.

261

Soft-Tissue (ST) airway (Fig 2D)

262 Under the high flow rate condition for *Euoplocephalus*, the nasal passage warmed air by 263 19.7°C with essentially all that heat transfer (97%) occurring in the convoluted nasal vestibule 264 (Fig. 9). Air field relative humidity reached saturation earlier in the nasal vestibule of the ST 265 airway than the BB airway. Extensive vorticity was observed throughout the nasal passage. 266 These vortices were often concentrated around the multiple convolutions within the nasal 267 passage. Upon expiration, air entered the choana at 35°C and exited the nostril at 17.3°C. As 268 with *Panoplosaurus*, there were fewer vortices upon expiration than inspiration. Under the low 269 flow rate condition for *Euoplocephalus* the air field showed complete warming from ambient 270 (15°C) to body temperature (35°C) with almost all of the heat transfer occurring within the 271 convoluted nasal vestibule (99%). Relative humidity of the air field reached saturation slightly 272 earlier within the nasal vestibule. Similar flow patterns to the high flow condition were observed 273 under the low flow rate condition (Fig. 9). During expiration, air left the nostril at 15.9°C, which 274 was just above ambient temperature.

275

Fig 9. Heat flow within the soft-tissue corrected nasal passage of *Euoplocephalus tutus*

277 (AMNH 5405) during inspiration under both high (left) and low (right) flow scenarios.

Numbers of dotted lines indicate cross-section numbers. Cross sections were taken at equivalent
locations on both models.

281 Basic airway (Fig 2G)

282 As with *Panoplosaurus*, the basic airway for *Euoplocephalus* consisted of a simple nasal 283 vestibule that extended in a straight line from the nostril to the CNP (Fig. 10). The total length of 284 this simplified nasal vestibule was 162.14 mm, which was an 80% reduction in length from the 285 original 808.74 mm nasal vestibule. As with the basic Panoplosaurus airway model, we ran this 286 model under our most conservative, Reversed-Reynolds flow estimate. Under this low flow 287 condition, the basic airway of *Euoplocephalus* warmed inspired air by 15.3°C. This initially 288 appeared impressive. However, as with our *Panoplosaurus* model, closer examination of the 289 nasal passage revealed distinct differences between this basic airway and the ST airway. The 290 basic airway of *Euoplocephalus* had a fairly ineffective nasal vestibule. The nasal vestibule 291 provided only 45% of the heat to the air field, resulting in a steady stream of cool air moving 292 through the nasal vestibule and into the CNP (Fig. 10). Air field relative humidity still reached 293 saturation, but only after passing into the CNP. Similar to the basic airway of *Panoplosaurus*, 294 this reliance on the CNP to deliver heat to the inspired air field had direct consequences for the 295 nasal passage during expiration, where the nasal passage was only capable of reducing airway 296 temperature by 4.7°C. The resulting expired air left the nasal passage at just 5°C below body 297 temperature $(30.3^{\circ}C)$.

298

Fig 10. Airflow through the basic airway of *Euoplocephalus tutus* (AMNH 5405). (A) Left lateral view of basic airway showing airflow. Streamlines are color-coded for heat (hotter colors = hotter temperatures). *Inset*: Sagittal cross section of airway showing persistent stream of cool

302 air traversing the nasal vestibule and interacting with the CNP. (B) Temperature at the nostril

303 during expiration for the basic airway and the ST airway.

304

305 Straightened airway (Fig 2H)

306	The removal of nasal vestibule curvature resulted in vortex-free, laminar air traversing
307	the nasal vestibule (Fig. 11). Under the low flow condition, the straightened airway of
308	Euoplocephalus was able to increase the temperature of inspired air by 19.3°C, with 89% of that
309	heating occurring in the nasal vestibule. Water saturation of the inspired air occurred well within
310	the nasal vestibule. On expiration, the straightened airway reduced the temperature of the expired
311	air by 12.2°C, resulting in air leaving the nostrils at 22.8°C.
312	
313	Fig 11. Airflow comparison between the straightened airway and the ST airway in
313 314	Fig 11. Airflow comparison between the straightened airway and the ST airway in <i>Euoplocephalus tutus</i> (AMNH 5405). (A) Dorsal view of the skull of <i>E. tutus</i> with the left ST
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 313 314 315 316 317 318 319 	Fig 11. Airflow comparison between the straightened airway and the ST airway in <i>Euoplocephalus tutus</i> (AMNH 5405). (A) Dorsal view of the skull of <i>E. tutus</i> with the left STairway in situ. (B) Dorsal view of the straightened airway with flow lines in place. Airflow linesare color-coded for temperature (hotter colors = hotter temperatures). <i>Inset:</i> Magnified region ofnasal vestibule showing evenly spaced, straight flow lines. (C) Dorsal view of the ST airwayunder the low flow scenario showing the presence of vorticity throughout the nasal vestibule.Note: ST airway in C is not to scale with straightened airway B.

320

321 Energetic costs vs. savings of air conditioning

The estimated volumes of air that would have been inspired during one breath for *Panoplosaurus* and *Euoplocephalus* were 34 and 64 liters, respectively (Table 2). The energetic cost of heating these volumes of air was 833 thermal calories for *Panoplosaurus* and 1568

- 325 thermal calories for *Euoplocephalus*. Calculated energy savings for *Panoplosaurus* and
- 326 *Euoplocephalus* based on the expired air temperatures are presented in Tables 3 and 4.
- 327

328 Table 2. Energetic cost of heating one bolus of air by 20°C at 50% relative humidity for

329 Panoplosaurus mirus and Euoplocephalus tutus

Taxon	Tidal volume (ml)	Mass of air (g)	Cost of heating air 20°C (cal)	Latent heat of evaporation (cal)	Total energy cost (cal)
P. mirus	34000	39	187	646	833
E. tutus	64000	73	350	1218	1568

330

331 Table 3. Energy savings from reducing expired air temperature in all airway models for

332 Panoplosaurus mirus

Model	Expired temp	Heat	Latent heat of	Total energy
Model	(°C)	savings (cal)	condensation (cal)	recovered (cal)
BB airway (high)	22.67	115	392	507
BB airway (low)	20.46	136	441	577
ST airway (high)	21.57	126	419	545
ST airway (low)	19.48	145	460	605
Basic airway	26.5	79.5	294	374
Straightened airway	23.93	103	363	466

333

Table 4. Energy savings from reducing expired air temperature in all airway models for

335 Euoplocephalus tutus

Model	Expired temp (°C)	Heat savings (cal)	Latent heat of condensation (cal)	Total energy recovered (cal)
BB airway (high)	21.11	244	803	1047
BB airway (low)	19.42	274	868	1142
ST airway (high)	17.34	311	934	1245
ST airway (low)	15.87	337	980	1317
Basic airway	30.3	83	335	418
Straightened airway	22.77	214	738	952

337 **Discussion**

338 Critique of methods

339 Nostril placement

340 The lack of soft-tissue preservation around the nostril makes it difficult to determine just 341 how large the nostril would have been in life, as well as its orientation (lateral vs. terminal). The 342 shape of the nostril has been implicated in directing the air field to parts of the nose in rats and 343 dogs [47,48]. Sauropsids show less nostril mobility than mammals, suggesting that nostril shape 344 is less important for sauropsid nasal airflow dynamics. Nonetheless, the lack of information on 345 nostril shape in extinct animals does limit our knowledge of air field shape in this region of the 346 nose (see Methods). Fortunately, prior studies on how nostril shape alters nasal fluid dynamics 347 indicate that the effects of the nostril on the air field are of limited areal extent, with nasal flow 348 patterns remaining unaffected by nostril placement throughout most of the nasal passage [47].

349

Energy calculations based on V_T

350 The caloric energy expenditures and savings that were calculated for each ankylosaur are 351 contingent on our estimates of tidal volume respired during one breath. This tidal volume came 352 from the mass-dependent equations of Frappell et al. [49]. However, as we indicated with flow 353 rate (see Methods), the masses of our two ankylosaurs were substantially greater than those for 354 any of the birds in the dataset of Frappell et al. [49]. Furthermore, the body plan of ankylosaurs 355 is vastly different from their avian relatives, which may negate the use of a tidal volume equation 356 based on birds. However, data from Frappell et al. [49] and Farmer [50] indicate that the tidal 357 volume of archosaurs may be conserved. The mass-dependent equations for tidal volume in birds 358 and crocodylians [49,50] differ only in their coefficients, with that difference being a fairly

359 negligible 0.4. If we used the equation for crocodylian tidal volume instead, it would have 360 increased tidal volume by 1.5–3%, resulting in a 1–3% increase in caloric costs. This fairly small 361 increase in caloric costs would not have changed the comparative results between these two taxa, 362 nor their comparisons to extant animals.

363

Body temperature estimates

364 Our study specimens were both given a core body temperature of 35°C based on an 365 approximate average taken from our survey of extant, large terrestrial tetrapods (Table 5). 366 Although there has been promising work in paleothermometry using clumped isotopes [51,52], 367 this technique has yet to be applied to any ankylosaur taxon. Thus, it is likely that our estimated 368 body temperature for these two dinosaurs is either too high or too low compared to their actual 369 body temperatures. Despite the arbitrariness of our temperature designation, changing the body 370 temperature to something higher or lower would have only affected the steepness of the heat 371 transfer gradient. Our comparative results would remain the same, with *Euoplocephalus* 372 consistently outperforming Panoplosaurus, and the nasal passages of both taxa outperforming 373 their simplified and straightened airway morphologies.

374

Taxon	Body Temperature (°C)	Reference
African elephant (<i>Loxodonta Africana</i>)	36.2–36.6	[53,54]
Asian elephant (<i>Elephas maximus</i>)	35.7–36.8	[55,56]
Black rhinoceros (Diceros bicornis)	31.8–41.9	[57,58]
White rhinoceros (<i>Ceratotherium simum</i>)	33.6–37.5	[59,60]
Masai giraffe (Giraffa camelopardalis)	35.7–39.1	[33,61,62]

375 Table 5. Core body temperatures recorded for a variety of large, terrestrial amniotes

Grizzly bear (Ursus arctos)	36.5–38.5	[63]
Emu (Dromaius novaehollandiae)	37.4–39.2	[64,65]
Ostrich (Struthio camelus)	38.0-40.2	[65,66]
Galapagos tortoise (Chelonoidis nigra)	28–31	[67]
Komodo dragon (Varanus komodoensis)	36–40	[68]

376

377 Heat transfer efficiency in ankylosaur nasal passages

378 Both ankylosaur nasal passages revealed a substantial capacity to modify the conditions 379 of the air within, indicating that the highly convoluted nasal vestibules of these taxa were 380 efficient heat exchangers. The ST airways under the low flow rate condition, recouped the most 381 energy for both dinosaur taxa (73% and 84% for Panoplosaurus and Euoplocephalus, 382 respectively). This version of the nasal passage was meant to most closely represent what the 383 original nasal passage would have been like in life. In contrast, the nasal passages as they were 384 preserved in the fossils (i.e., the BB airways) and placed under the same low flow rate 385 conditions, were not able to recoup as much heat energy (69% and 73% of inspiratory cost for 386 Panoplosaurus and Euoplocephalus, respectively). Despite the remarkably well-preserved nasal 387 passages of both dinosaurs, accounting for soft tissue still resulted in noticeable differences in 388 heat transfer efficiency. Comparing the energy savings calculated for the ST airways of 389 Panoplosaurus and Euoplocephalus (Fig 2B,D) to experimentally obtained energy and water 390 recovery values for extant amniotes, we found both dinosaurs had energy and water recovery 391 values that were on par with many extant animals (Fig. 12).

Fig 12. Heat and water savings calculated for the most efficient airway models of *Panoplosaurus mirus* and *Euoplocephalus tutus* vs. various extant animals. Note that
variations in experimental protocol means that, although these results are comparable, they
should not be viewed as fully equivalent. See Methods for details on graph calculation and
references for extant data.
As predicted, airflow rate did have a noticeable effect on heat transfer efficiency, with
lower flow rates resulting in more effective heat transfer (11–14% and 6–9% greater efficiency

400 for *Panoplosaurus* and *Euoplocephalus*, respectively; Fig. 13). These results agree with previous

401 measurements and simulations [69,70] that indicate flow rate is one of the most important

402 contributing factors affecting heat transfer between air and the nasal passage.

403

404 Fig 13. Heat and water savings between all nasal airway models for *Panoplosaurus mirus*

(top) and *Euoplocephalus tutus* (bottom). Models are organized from greatest savings to least
in both graphs. Abbreviations: ST low, soft-tissue low flow rate; ST high, soft-tissue high flow
rate; BB low, bony-bounded low flow rate; BB high, bony-bounded high flow rate; Straight,
straightened airway; basic, basic airway.

409

That the heat transfer efficiency of the dinosaur nasal passages was a result of their great length was made evident upon comparison with the artificially shortened basic airways (Figs.2E,G, 6, 10, 13). These basic airways represented a minimalistic trek of the nasal vestibule from the nostril to the CNP. Achieving this ultra-conservative anatomical shape required excising most of the preserved nasal vestibule (55% and 80% of the nasal vestibule length in

Panoplosaurus and Euoplocephalus, respectively). These truncated nasal vestibules offered a 415 416 much-reduced surface area for heat and moisture to transfer from the respired air to the nasal 417 mucosa (Figs. 6, 10). Although the CNP did offer a sizeable heat transfer capacity during 418 inspiration, this appears to be due to vorticity within the CNP during inspiration. During 419 expiration, expired air traversed the CNP differently from inspiration. Vorticity was not present 420 and heat transfer through the CNP was minimized, requiring the nasal vestibule to handle the 421 bulk of heat transfer. As such, the basic airways showed extremely reduced heat recovery 422 abilities (62–32% of the respective ST airway heat recovery for *Panoplosaurus* and 423 *Euoplocephalus*, Tables 3, 4, Fig. 13). These results strongly suggest that airway elaboration 424 offers a strong thermoregulatory benefit.

425 Maintaining the length but removing curvature (convolutions) from the nasal vestibule 426 (Fig 2F,H) resulted in a negative effect on heat and water recovery ability (Fig. 13), albeit not as 427 prominent as the basic airway. When compared to the low flow rate ST airways, curvature 428 removal resulted in a 23% drop in heat transfer efficiency for *Panoplosaurus* and a 28% drop in 429 heat transfer efficiency for *Euoplocephalus*. The removal of airway curvature also removed the 430 presence of standing vortices in the nasal vestibules of both taxa (Figs. 7, 11), which likely 431 explains the reduced heat transfer. As fluid flows within an object (e.g., air in the nasal passage) 432 the portions of the flow field closest to the object's surface tend to stick to that surface, imparting drag on the fluid as a whole [71]. As streamlines move farther and farther from these surfaces, 433 434 the sheer imparted by wall drag gets minimized, resulting in fluid at the center of the flow field 435 moving at the highest velocities and producing the classic fluid dynamic parabolic profile [71]. 436 Since fluids at the fluid-surface boundary are essentially static, they create a boundary layer that 437 acts as a barrier to diffusion. For laminar flowing fluids, this boundary layer can be fairly thick.

438 Thus, effective heat transfer through laminar fluids requires a reduction in this boundary layer 439 size [71]. One way to reduce this boundary layer effect is by placing sharp turns and contortions 440 within the nasal passage to break up the boundary layer, allowing cooler air to come into closer 441 contact with the surrounding mucosa. The presence of standing vortices at multiple curves within 442 the nasal passage of both *Panoplosaurus* and *Euoplocephalus* (Figs. 7C, 11C) revealed multiple 443 regions where that boundary layer was broken up. Further, the presence of vortices acts to slow 444 down the passage of the air molecules through the nasal passage, providing more time for air to 445 reach thermal equilibrium with the body. By coiling the nasal passage within the skull, 446 ankylosaurs were able to take advantage of the extra surface area for air to interact with the 447 mucosa. This surface area, coupled with the adjacent location of large nasal vasculature ([20,72] 448 Fig. 14) and boundary-layer-breaking effects produced by forcing the air field to radically alter 449 direction as it moved through the nasal vestibule, resulted in these nasal passages acting as very 450 effective air conditioners.

451

Fig 14. Vascular reconstruction of the venous pathway in the left oronasal apparatus of *Euoplocephalus* (AMNH 5405). Venous reconstruction followed the methods of Porter [72]. Red highlighted veins indicate main channels of heat transfer from the oronasal apparatus to the

455 brain.

456

The ST airway reconstructions (Fig 2B,D), under the low flow rate conditions, produced the highest water savings (69% and 79% for *Panoplosaurus* and *Euoplocephalus*), whereas the truncated, basic airways were the least effective (44% and 27%) at water reclamation. Our data

460 suggest that the nasal passages in both ankylosaurs could have functioned effectively as water461 reclaimers as well as air conditioners.

462 Heat transfer in *Panoplosaurus* vs. *Euoplocephalus*

463 A consistent trend observed throughout this study was the greater heat transfer efficiency 464 in the nasal passage of *Euoplocephalus* (Table 4, Fig. 13 bottom) as compared to *Panoplosaurus* 465 (Table 3, Fig. 13 top). Under both high and low flow rate conditions, both the BB and ST 466 airways of *Euoplocephalus* (Fig 2C,D) were able to bring inspired air closer to simulated body 467 temperature than the respective airway models of *Panoplosaurus* (Fig 2A,B). Upon expiration, 468 the ST nasal passage of *Euoplocephalus* was able to lower air temperatures 3.6–4.2°C lower than 469 air temperatures in the ST-corrected nasal passage of *Panoplosaurus* (Figs. 4–5, 8–9). This 470 translated into a 15–22% greater energy savings and a 14–19% greater water recovery. The more 471 elaborately convoluted nasal vestibule in *Euoplocephalus* compared to *Panoplosaurus* ([20], 472 Figs. 15–18) was likely responsible for these greater energy savings despite the larger flow rates 473 and tidal volumes. The nasal vestibule played the largest role in air conditioning for both models. 474 These results indicate that *Euoplocephalus* had a more effective nasal passage than 475 *Panoplosaurus* in relation to heat transfer. The evolutionary pressures behind these different 476 performances are difficult to decipher. 477 Both ankylosaurs are known from the same stratigraphic level of the Dinosaur Park 478 Formation [73], indicating that they were sympatric. As such, it is unlikely that gross 479 environmental factors were responsible for the more elaborate nasal passages of *Euoplocephalus*. 480 It is possible that the more elaborate nasal passage in *Euoplocephalus* was simply a byproduct of 481 ankylosaurian phylogeny and ecology. Euoplocephalus and Panoplosaurus represent the two 482 major divisions of the clade Ankylosauria [1, 58]. Currently, our knowledge of nasal passage

483 shape in ankylosaurs is limited to these two taxa. However, if the more extensive "paranasal 484 sinus system" of ankylosaurids [16,18] is an indication of a more convoluted nasal vestibule, 485 then ankylosaurids would have apomorphically elaborated their nasal passages to a greater 486 degree than nodosaurids. The driving force behind the more extensive elaboration of the nasal 487 vestibule in ankylosaurids over nodosaurids is difficult to determine. The notably divergent 488 cranial architecture in these two taxa likely played an important part. Nodosaurid skulls are 489 longer than they are wide, appearing pyriform in dorsal view, whereas ankylosaurid crania are 490 decidedly squatter with broad and blunt rostra [12]. The more restricted cranial real estate in 491 ankylosaurids would necessitate greater convolutions to obtain an equivalent airway length to 492 nodosaurids. With that said, it is pertinent to note that the length of the nasal vestibule in 493 Euoplocephalus greatly exceeds that observed in Panoplosaurus, regardless of convolutions 494 (Table 6). Based on our mass estimates of these two taxa, *Euoplocephalus* was 1.7–1.8 times 495 larger than Panoplosaurus. However, the cavity housing the nasal vestibule of 496 Euoplocephalus—as preserved in the cranium—was just over twice the length and nearly 3 times 497 the volume of the preserved nasal vestibule cavity in *Panoplosaurus*. Skull architecture does not 498 appear to fully explain the discrepancy in nasal passage length between these two taxa. 499

500 **Table 6. Nasal vestibule size compared with body mass and endocast volume**

Taxon	Vestibule length (mm)	Vestibule volume (mm ³)	Body mass (kg)	Endocast volume* (mm ³)
Panoplosaurus	400	157134	1100-2000	699.5
Euoplocephalus	808.74	436740	2000-3500	811.5

*Endocast volume obtained from segmentations of endocranial cavity by Witmer & Ridgely
[20,21]

503	Cranial differences between nodosaurids and ankylosaurids have been argued to be a
504	response to the different dietary niches of these two ankylosaur clades. The narrower snouts of
505	nodosaurids suggest a more selective feeding strategy, as compared to the bulk feeding proposed
506	for ankylosaurids [74]. The Dinosaur Park Formation has been interpreted as housing both open
507	and closed habitats [74]. If ankylosaurids were less discerning in their diets and relied heavily on
508	hindgut fermentation, they could have spent more time grazing in open terrain. This extensive
509	time under a constantly-beating sun, coupled with the heat produced from vast quantities of
510	fermenting vegetation in the gut, may have placed a higher heat load on the brain of
511	Euoplocephalus, as opposed to Panoplosaurus, which could have spent more time in covered
512	habitat, with less reliance on hindgut fermentation of low-quality ingesta. Although this scenario
513	is largely speculative, it would align with previous work on dental microwear and expected diets
514	in ankylosaurids as compared to nodosaurids [74,75].
515	A final factor to consider is the overall size difference between these two ankylosaurs.
516	Euoplocephalus was 900–1500 kg more massive than Panoplosaurus based on estimates from
517	Brown et al. [76]. Larger animals—with their lower surface-area-to-volume ratios—absorb,
518	produce, and retain more heat than smaller animals [77]. As a substantially more massive animal,
519	Euoplocephalus would have been capable of absorbing higher heat loads than its lighter relative.
520	Elaboration of the nasal apparatus could have been an evolutionary response to offsetting these
521	larger heat loads. The Dinosaur Park Formation featured two other ankylosaurs during this time
522	period. The nodosaurid, Edmontonia rugosidens and the ankylosaurid Dyoplosaurus
523	acutosquameus. Both taxa are known from enough material to provide fairly accurate estimates
524	of their body mass [76]. Notably, both ankylosaurids had higher estimated body masses for a

525 respective body length than their nodosaurid relatives (Table 7). Ankylosaurids appear to have

526 been heavier nodosaurids for any given body length.

527

Taxon	Estimated length (m)	Estimated mass (kg)*
Dyoplosaurus	3.58-4.16*	1500–2500
Euoplocephalus	5-6†	2000–3500
Edmontonia	6‡	1000–1800
Panoplosaurus	5‡	1100–2000

528 Table 7. Size estimates of ankylosaurs from the Dinosaur Park Formation

529

*from [76]. † from [78]. ‡ from [79]

530 The potentially more massive bodies in ankylosaurids suggests that a potential causal 531 relationship between nasal passage complexity and body size may exist. This relationship aligns 532 well with the heat transfer results from our simulation study. Further supporting this causal 533 relationship was a recent CT analysis of the small, basal ankylosaur Kunbarrasaurus ieversi 534 [80]. The authors discovered its nasal passage to be remarkably short and potentially simplistic, 535 which suggests that ankylosaur nasal passages became more elaborate as members of the clade 536 grew larger. However, Kunbarrasaurus did also live in a different environment and time from 537 the ankylosaurs in our current study, and thus was likely subjected to a different set of 538 environmental pressures. Detangling nasal passage shape from the multiple factors of ecology, 539 phylogeny, and biology is a topic in of itself that is beyond the scope of this study. It is likely 540 that a mix of all three of these factors drove the evolution of nasal passage elaboration in 541 ankylosaurs. Further, these results do not negate potential alternate functions of the nasal 542 passage, especially in regard to vocal resonance. Our results only indicate that the elaborate nasal 543 passages of ankylosaurs had the potential to be efficient heat exchangers, even if that was not 544 their primary function.

545

Fig 15. Airway reconstruction and soft-tissue correction in *Panoplosaurus mirus* (ROM 1215). (A) Initial CT-based bony-bounded segmentation of airway within the skull and (B) isolated BB airway. (C) Airway cleaned and separated, with the addition of a soft-tissue naris and nasopharyngeal duct exiting into an artificially created laryngotracheal region. (D) Nasal passage digitally compressed to reduce airway caliber, better simulating the mucosa-lined airways of extant amniotes. Black lines indicate locations of cross sections (E–F). (E) Cross

section of original BB airway caliber. (F) Cross section of airway after soft-tissue correction.

553 Fig 16. Airway reconstruction and soft-tissue correction in *Euoplocephalus tutus* (AMNH

554 **5405**). (A) Initial CT-based bony-bounded segmentation of airway within the skull and (B)

isolated. (C) Airway cleaned and separated, with the addition of a soft-tissue naris and

nasopharyngeal duct exiting into an artificially created laryngotracheal region. (D) Nasal passage

557 digitally compressed to reduce airway caliber, better simulating the mucosa-lined airways of

558 extant amniotes. Black lines indicate locations of cross sections (E-F). (E) Original bony-

559 bounded airway caliber. (F) Airway caliber after soft-tissue correction.

Fig 17. Alternate airway models for *Panoplosaurus mirus*. (A) Dorsal view of the straightened airway (removal of nasal vestibule curvature) and the original, ST-corrected airway. (B) Lateral view of skull of *P. mirus* (ROM 1215) with basic airway in situ. A direct connection between the bony narial aperture and the CNP in a loss of 55% of the original nasal vestibule.

564 Fig 18. Alternate airway models for *Euoplocephalus tutus*. (A) Dorsal view of the

- straightened airway (removal of nasal vestibule curvature) and the original, ST-corrected airway.
- 566 (B) Lateral view of skull of *E. tutus* (AMNH 5405) with basic airway in situ. A direct connection

between the bony narial aperture and the CNP resulted in a loss of 80% of the original nasalvestibule.

569 Nasal convolutions vs. respiratory turbinates

570 Regardless of the relative efficiency between these two ankylosaurs, both animals seemed 571 quite capable of modifying respired air. That both dinosaurs were able to modify respired air 572 without the aid of respiratory turbinates or conchae is particularly intriguing. Respiratory 573 turbinates—and the physiologically active mucosal conchae that reside on them—have been 574 hypothesized to have evolved strictly for the function of increasing the water reclaiming ability 575 of the nasal passage, mitigating the effects of high ventilation rates as seen in mammalian 576 endotherms [34,35,38,39]. However, as has been previously suggested [81,82], this necessity for 577 respiratory turbinates appears to be truer for mammals than for sauropsids, as the latter have 578 markedly lower ventilation rates than equivalently sized mammals [49,81]. The estimated resting 579 breathing frequency of Panoplosaurus and Euoplocephalus was 1.5 and 1.2 breaths/minute, 580 respectively. Such slow breathing would result in naturally low rates of respiratory evaporative 581 water loss (REWL) regardless of whether respiratory turbinates were present. As such, the need 582 for a water recovery mechanism may not have been as strong a selective force as it appears to be 583 in mammals, allowing for alternate means of solving the REWL problem, such as evolving a 584 long, winding nasal passage. Our results indicate that an elongated, convoluted nasal passage 585 produces equivalent results to a turbinate-filled airway. Both anatomical organizations appear to 586 offer the same results albeit with different tradeoffs. A single, winding airway warms and 587 transports air in a stepwise, serial fashion. In contrast, a turbinate-filled airway breaks the air 588 field into multiple, parallel-running air streams. The latter approach appears to function well at 589 warming large volumes of air in a relatively small space, allowing a short airway to act like a

590 long airway [83]. However, by breaking the airfield into a series of smaller streams, turbinates 591 also decrease the caliber of the airway in these regions. Intuitively, we should expect to see a 592 concomitant, and rather large, increase in airflow resistance as determined from a derivation of 593 the Hagen-Poiseuille equation [71]:

594

$$R = \frac{8\mu l}{\pi r^4} \qquad (1)$$

595 where R = resistance, μ = dynamic viscosity, l = the length of the "pipe" and r = the radius of the 596 "pipe." As indicated by the exponent in the equation, resistance is highly sensitive to the radius 597 of the structure through which a fluid flows. Separating the airfield into a series of smaller air 598 channels should result in a substantial increase in airway resistance. However, even though 599 turbinates break up the airway into multiple channels, these channels are all running in parallel to each other. Unlike resistance in a serial system of pipes, the cumulative resistance in a turbinate-600 601 filled airway—much like in an electrical circuit—is best calculated by taking the reciprocals of 602 resistance for each parallel channel [71]:

603
$$R_{total} = \sum \frac{1}{\frac{1}{R_1} + \frac{1}{R_2} + \frac{1}{R_3} \dots}$$
(2)

604 Thus, an airway split into multiple parallel channels will increase in resistance much 605 slower than it would appear at the outset, which makes the filling of nasal passages with 606 turbinates an energetically viable option (Fig. 19). Standard scaling rules indicate that an 607 isometric increase in body size will increase the volume of the nasal passage [77]. Van 608 Valkenburgh et al. [84] found the turbinate-filled nasal passages of carnivorans to scale 609 positively allometrically, thus indicating even larger increases in airway volume with body size 610 than predicted from isometry. Increasing nasal passage volume should reduce the efficacy of the 611 nasal passage at transferring heat, due to the diffusion limited nature of heat transfer discussed 612 earlier. However, increasing the volume of a turbinate-filled nasal passage results in a relatively

613 minor increase in the gap distance between opposing turbinate walls. Thus, an increase in nasal 614 cavity volume should result in only modest increases in individual channel volume. The larger 615 size of the nasal cavity may further be compensated for by changing the arrangement of 616 individual turbinates (e.g., increased scrolling) or increasing the thickness of the mucosa that 617 resides on them (conchae). This ability to slow the rate of radial distance increase within the air 618 field appears to make nasal turbinates more resistant to changes in body size than a serially-619 arranged nasal passage may be. This resilience may explain why turbinate density appears to not 620 scale with body size in carnivoran mammals [84]. In contrast, a single long airway offers lower 621 resistance, but it does impose a limit on how much air can be processed at any one time. 622 Increasing heat-transfer efficiency—or maintaining it at larger sizes—requires more contortions 623 of the nasal passage, filling up more space within the skull and increasing anatomical dead space 624 within the conducting portion of the respiratory system. For slow-breathing animals this 625 limitation on airflow processing is less of a problem. Among extant diapsids, the nasal passages 626 of ankylosaurs are reminiscent of the winding airways found in some lizards [85], such as 627 Uromastyx (Fig. 20). Lizards—much like birds—are slow breathers compared to similar sized 628 mammals [86], and the limitation on air processing imposed by a long, serial airway does not 629 seem to affect them. Further the likely presence of a unidirectional airflow system in the lungs of 630 dinosaurs [87,88], and possibly all diapsids [89–91], would further offset any increases in 631 anatomical dead space.

632

Fig 19. Example of flow in a hypothetical, serial pipe (top) vs. a parallel pipe (bottom).

634 Resistance is sensitive to the pipe's caliber, giving the parallel pipe greater resistance on the

635 outset. However, the parallel arrangement of the smaller caliber tubes offsets some of the

636 increased resistance, resulting in only a modest increase in overall resistance, while

637 simultaneously increasing surface area to volume ratios. In the above example, the parallel pipe

638 has half the caliber of the serial pipe, but is split into fourteen partitions, resulting in

639 approximately identical resistance to the single, serial pipe.

640 Fig 20. Airway of the lizard Uromastyx aegyptia (OUVC 10688) in (A) oblique left lateral

641 and (B) dorsal view. As with ankylosaurs, the nasal passage (yellow) exhibits convolutions that

642 increase surface area. (C) Horizontal CT slice image reveals that "slabs" of mucosa are

643 responsible for compressing the airway. It is likely that these mucosal slabs are well

644 vascularized, which would aid in heat and water savings during respiration in this taxon.

645

Nasal air conditioning and brain cooling

646 Although much has been written on the heat and water retaining function of respiratory 647 turbinates [34–39] as well as the nasal passage itself [28,29,31–33], fewer studies have looked at 648 the other side of this nasal function, namely, its ability to dump excess heat from the body core. 649 Inspired air is heated in the nasal passage by pulling heat away from warm blood coursing 650 beneath the adjacent mucosa. As such, the nasal passage not only warms and humidifies inspired 651 air, but it also acts as a heat sink for hot blood coming from the body core. Blood vessels that 652 surround the nasal passage have the potential to shed excess heat, resulting in a source of cooled 653 blood. An extensive survey of vasculature in extant sauropsids [72,92–94] found that the nasal 654 passage is supplied by predictable branches from the internal and external carotid arteries. These 655 arterial branches supply capillary beds within the nasal mucosa that transition into major venous 656 pathways that course caudally towards the brain and eyes. Reconstructed vasculature in 657 dinosaurs, including the ankylosaurs used in this study ([20], Fig. 14), has revealed extensive

658 nasal vasculature in large-bodied dinosaurs with pathways similar to those of extant diapsids 659 [72,81,92]. Shedding excess body heat in the nasal passages provides a means for sauropsids to 660 keep their brains from overheating and maintain an independent and physiologically optimal 661 temperature range. Studies on extant amniotes have found that head temperature tends to be 662 more strictly regulated than body temperature [95–99]. The oronasal apparatus has long been 663 implicated in controlling these body temperature differences [99–103]. Many studies have 664 focused solely on the role of the oronasal apparatus in avoiding heat stress (e.g., [103]). These 665 studies tended to observe other signs of heat stress (e.g., panting) that have been shown to offer a 666 means of locally cooling the cephalic region of the body [101-103]. Our ankylosaur data, 667 however, indicate that a substantial blood cooling capacity in the nasal passage was present even 668 under "relaxed" or resting conditions (i.e., not heat stress). We speculate that heat dumping in 669 these enhanced nasal passages may have been more obligate as the large size of these dinosaurs 670 would have resulted in a very high heat load that-when transferred to remarkably small brains 671 ([83], Table 6)—would have created conditions that would continuously place the brain at risk of 672 overheating. Interestingly, similar nasal elaborations have been observed in sauropods, 673 ornithopods, and ceratopsians [105]. All of these groups are comprised of mostly large bodied 674 (multi-tonne) animals. As with ankylosaurs, these dinosaur taxa also reveal elaboration of the 675 nasal vestibule. The nasal vestibule appears to be the most plastic of nasal passage anatomy, as it 676 is also the most variable part of the nose in birds, turtles, and lizards [106–109]. [] Nasal 677 vestibule elaboration in sauropods, hadrosaurs, and ceratopsians may have evolved for brain 678 cooling in these taxa as well. Future work looking at more basal members of these dinosaur 679 groups (e.g., the basal ankylosaurian, *Kunbarrasaurus* [110]) will provide greater insight into the 680 role of nasal passage elaboration and body size evolution in dinosaurs.

681 Materials and Methods

682 Specimens

We analyzed airflow in two species of ankylosaur, the nodosaurid *Panoplosaurus mirus* (ROM 1215) and the ankylosaurid *Euoplocephalus tutus* (AMNH 5405). CT data and initial 3D models were obtained from previous work conducted by Witmer & Ridgely [20]. To aid with soft-tissue reconstruction, we further looked at other specimens of *Euoplocephalus* (AMNH 5403, ROM 1930) and *Panoplosaurus* (CMN 2759) as well as the related species: *Edmontonia rugosidens* (AMNH 5381), *Ankylosaurus magniventris* (AMNH 5214), *Pinacosaurus grangeri* (ZPAL MgD-II/1), and *Kunbarrasaurus ieversi* (QM F18101).

690 Model construction

691 Segmentation

692 Osteological evidence for a complete nasal septum (septal sulcus or partially mineralized 693 septum) meant that left and right nasal passages acted independently of each other. This allowed 694 for modeling of one side of the nose only, which saved on computational costs. Initial 695 segmentation of the airways produced a rough approximation of the nasal passage in life, 696 complete with a rostral and caudal vestibular loop [20] and an enlarged olfactory recess (Fig. 2). 697 We refer to the enlarged, looping area of the nasal passages in both ankylosaurs as the nasal 698 vestibule. This demarcation of nasal passage anatomy in sauropsids is typically determined by 699 the placement of the duct for the nasal gland [106,107]. Unfortunately, the duct and its ostium 700 are both soft-tissue structures that do not leave impressions on the bone. As an alternative, we 701 used the region of the nasal passage where nasal cavity diameter suddenly increased [81]. This 702 area is known to correlate with the terminus of the nasal vestibule in extant sauropsids.[111]

703 Further, support for this interpretation comes from the tube-shaped structure of the nasal 704 vestibule in extant reptiles. The morphology of the looping portions of the ankylosaur airways 705 best fits this description. The nasal vestibule and the CNP were unattached to each other in the 706 original airway segmentations [20], requiring further segmentation and attachment to produce a 707 contiguous surface model. The nasopharyngeal duct was not segmented in the original models 708 and required segmentation. Although Witmer and Ridgely [20], as well as Miyashita et al. [21], 709 discussed the presence of well-preserved olfactory turbinates within the olfactory recess of these 710 dinosaurs, neither study published images of the segmented structures. For our study, these 711 structures and their effect on the airway (i.e., the impressions they left on the digital airway cast) 712 were segmented using the program Avizo 7.1 (FEI Visualization Sciences Group, Burlington, 713 MA). As with the initial airway segmentation, the final product was a cast of the inside of the 714 nasal cavity, revealing the potential space in which air could reside within the nasal passage. 715 Examination of the CT data within the olfactory recess revealed both the presence of mineralized 716 olfactory turbinates as well as the outer boundary to the nasal capsule. These data provided 717 insight into the limit of the airway in life, which was substantially more restricted than initial 718 segmentations suggested (Fig. 21). Extra space lateral to our interpretations of the nasal passage 719 wall was interpreted as housing the antorbital sinus. Its placement near the olfactory chamber, 720 adjacent to the CNP, is consistent with antorbital sinus placement in extant archosaurs [14]. In 721 preparation for meshing, the segmented models were cleaned of segmentation artifacts using the 722 program Geomagic 10 (3D Systems Geomagic, Rock Hill, SC).

723

Fig 21. Segmentation of the airway in *Panoplosaurus mirus* (ROM 1215). (A) Skull in left
 lateral view. Line represents the location of (B) axial CT section showing preserved olfactory

turbinates. (C) Diagram of CT image showing caliber of airway vs. entire nasal cavity. (D)

727 Segmented olfactory turbinate in same plane as CT image.

728

729 Fleshy nostril placement and soft palate

Although ROM 1215 and AMNH 5405 both contained well preserved nasal passages, the terminal regions of the nose—the fleshy nostril and choana—were not preserved. To aid with these soft-tissue reconstructions we turned to other specimens of the same species along with well-preserved specimens of related ankylosaurs to better determine the location of the nostril and choana.

735 Fleshy nostril

For *Panoplosaurus*, we used the well-preserved skull of CMN 2759 to determine the location of the nostril. CMN 2759 preserved the rostral wall of the bony narial aperture, which was comprised of the rostral-most cranial osteoderm, most similar to the median nasal caputegulum of *Euoplocephalus* [112]. These anatomical structures strongly suggested that the nostril of *Panoplosaurus* deviated laterally (Fig. 22A,C). Laterally-facing nostrils are common among diapsids and such a placement in *Panoplosaurus* was not unexpected.

743 Fig 22. Nostril placement in ankylosaur models. All skulls in right lateral and rostral views.

For *Panoplosaurus mirus* (A, C) we used (A) ROM 1215 as our base model with nostril

745 placement informed by (C) CMN 8530. For *Euoplocephalus tutus* (B, D) we used (B) AMNH

5405 as our base model with the skull of (D) ROM 1930 informing us on the limits to the extent

747 of the nostril. Asterisks in A and B denote location of fleshy nostril in our models.

748 For *Euoplocephalus*, AMNH 5405 did not present a well-preserved rostral-most portion 749 of the cranium. To assist with nostril positioning, we compared bony narial aperture shape in 750 AMNH 5405 with ROM 1930 (Fig. 22B,D). In the latter, preservation of the rostral-most region 751 of the cranium revealed an enlarged bony narial aperture that faced forward suggesting terminal 752 nostril placement. However, the width of the bony narial aperture encompassed both the rostral-753 most portion of the cranium as well as a lateral portion of the cranium. Thus, it remains possible 754 that the nostril in *Euoplocephalus* could have deviated laterally, or had a rostrolateral 755 combination thereof. This position would be consistent with fleshy nostril placement in 756 Ankylosaurus (AMNH 5214) where dermal ossification is extensive and indicates 757 unambiguously that the nostril was located rostroventrolaterally [78]. In contrast, 758 Anodontosaurus lambei (CMN 8530), a close relative of Euoplocephalus, shows a well 759 constrained bony narial aperture that would limit the nostril to a terminal position on the snout 760 [112]. All known skulls of *Euoplocephalus* that preserve the rostral-tip of the snout show a much 761 less constrained bony narial aperture. This could indicate that the caputegula covering the 762 cranium were less extensive in this species and that terminal fleshy nostrils were present but 763 were constrained only by soft-tissues. AMNH 5405 has a fossa on the premaxillae (apertura 764 nasalis [17]) that has previously been interpreted as a portion of the nasal vestibule ([17,20], Fig. 765 21B,D). This fossa is wide enough that it could have easily housed a laterally deviating nasal 766 vestibule that terminated rostrally in a laterally-facing nostril. For the purposes of our analysis 767 we fit *Euoplocephalus* with such a nostril, with the caveat that the osteological evidence for it 768 was equivocal (Fig. 22B,D). Such a position is consistent with the general finding in amniotes 769 that fleshy nostrils tend to be rostroventrally situated within the nasal vestibule [113]. 770 Choana
771 The choana is the fleshy, "internal nostril" for the nasal passage. It represents the 772 terminus of the airway within the nasal passage as the airway passes into the throat. Much as 773 how the fleshy nostril resides within the larger narial fossa, the choana is typically associated 774 with a much larger structure called the fenestra exochoanalis [88,89, Fig. 23] or bony choana 775 [81]. The difference in shape between the fleshy and bony structures varies across species. In 776 birds, the fenestra exochoanalis is extensive. It is bordered by the palatines laterally and 777 caudally, the vomers medially, and the maxilla rostrally [115]. The choana opens as a fleshy slit 778 at the caudal terminus of the fenestra exochoanalis in birds, and is often covered in life by 779 "choanal flaps" that keep food particles out of the nasal passage during ingestion [116,117]. 780 Osteologically, the choana is associated with a depression in the palatines referred to as the 781 choanal fossa ([116], Fig. 23B). Lizards have a choanal morphology similar to birds (Fig. 23C). 782 Their extensive fenestra exochoanalis is bounded by the maxillae rostrally and laterally, the 783 palatines caudally and medially, and the vomers medially. As with birds, the choana resides at 784 the caudal-most extent of the fenestra exochoanalis [118,119]. However, unlike birds the fleshy 785 covering of much of the fenestra exochoanalis is less extensive and food appears to be prevented 786 from entering the nasal passage partially by the more lateral placement of the choana on the oral 787 roof along with a well-developed choanal fold that extends the majority of the length of the 788 fenestra exochoanalis ([119], Fig. 23C). As with birds, lizard choanae are associated with a 789 choanal fossa (= choanal groove, [118,119]) situated at the caudal-most extent of the fenestra 790 exochoanalis. Depending on the lizard species, the choana either opens or is greatly expanded in 791 this region of the fenestra exochoanalis (Fig. 23C). Crocodylians have an apomorphic choana 792 placement referred to as the secondary choana [109]. It is produced via elongation of the 793 nasopharyngeal duct through the palatines and into the pterygoids. The original or primary

794 choana is still present and can be viewed internally within the dried skulls of extant crocodylians, 795 where Witmer [109] observed it bounded medially by the vomer, caudally by the palatines and 796 vomer, and laterally by the palatines and maxillae. These bony associations agree with choana 797 placement in birds and lizards, thus suggesting that the primary choana is the location of the 798 fenestra exochoanalis. The soft-tissue of the secondary choana is essentially an identical outline 799 of the underlying bone, negating the need for a separate term for this region. Thus, the exit of the 800 nasal cavity in crocodylians, regardless of soft-tissue presence, is the secondary choana (Fig. 801 23A). Using the extant phylogenetic bracket approach (EPB, [120]), the presence of a choanal 802 groove/fossa in both birds and lizards, can be considered a shared trait for diapsids that was later 803 lost in crocodylians, making the choanal groove/fossa a level 1 inference for placement of the 804 choana in the fenestra exochoanalis of diapsids [81].

805

806 Fig 23. Lateral and ventral views of extant diapsid skulls illustrating the location of the 807 choana. Crocodylians such as (A) Alligator mississippiensis (OUVC 9412) have a greatly 808 retracted, apomorphic secondary choana. Inset: The bony boundaries to the secondary choana 809 correspond to the soft-tissue boundaries. Birds such as (B) Meleagris gallopavo (OUVC 9647) 810 retain the plesiomorphic placement of the choana. Inset: Magnified palatal region showing the 811 difference between the bony boundaries to the choana (left side of image) and the soft-tissue 812 boundaries (right side of image). Lizards such as (C) Iguana iguana (OUVC 10446) similarly 813 show the plesiomorphic position of the choana. *Inset:* Relationship between the bony boundaries 814 to the choana (left side of image) and the more restricted soft-tissue boundaries (right side of 815 image).

816 Both *Panoplosaurus* and *Euoplocephalus* had extensive fenestrae exochoanales (Figs. 817 24–25). The shape of the soft palate in ankylosaurs has not been extensively studied. However, 818 details on the hard-tissue anatomy indicate that despite an enlarged fenestra exochoanalis, there 819 is evidence of bony secondary palate formation [1,13,17,121]. The secondary palate of 820 ankylosaurs has traditionally been viewed as a bipartite structure [13]. Rostrally, elongated 821 vomers contact the premaxilla, which sends out medial processes along with the maxilla to form 822 palatal shelves, making a structure referred to as the "rostrodorsal secondary palate" [13,121]. 823 Caudally, the palatines join with the vomers and pterygoids to form a structure called the 824 "caudodorsal secondary palate" [13,17,121]. In light of new information on the shape of the 825 nasal passage in ankylosaurs, the terminology for the palatal region of ankylosaurs should be 826 revised. The rostrodorsal secondary palate in ankylosaurs such as *Euoplocephalus* should be 827 viewed as the secondary palate (Fig. 24), which is consistent with the usage of the term in other 828 tetrapods in which the premaxillae and maxillae (and sometimes even palatines) meet rostral to 829 the choanae [122]. The "caudodorsal secondary palate" serves to separate the olfactory recess 830 from the rest of the nasal cavity. This structure is equivalent to the nasal structure known in 831 mammals as the lamina transversa ([48,123,124], Fig. 24C) and thus takes no part in the 832 formation of the definitive palate. Crocodylians exhibit a similar partitioning of the nasal 833 passages, with the roof of their nasopharyngeal duct forming the floor of their olfactory recess 834 [109]. A large depression in the caudal aspect of the palatines appears equivalent to the choanal 835 fossa or choanal groove seen in most extant diapsids (Figs. 23–24). As such, we interpret this 836 region as the opening of the choana into the throat. This interpretation makes the lamina 837 transversa the bony boundary for an elongate nasopharyngeal duct. In nodosaurids such as 838 *Panoplosaurus*, the distinction between the secondary palate and the choana is more evident

[19,125], Fig. 25). As with *Euoplocephalus*, there is evidence of a choanal fossa on the caudal
aspect of the palatines, suggesting that the choana opened caudally in this taxon as well (Fig. 25).

842 Fig 24. Palate identification and placement in *Euoplocephalus tutus* (AMNH 5405). (A)

Skull in lateral and (B) ventral view. *Inset:* Major features of the palatal region. We refer to the
caudodorsal secondary palate as equivalent to the lamina transversa observed in many mammals
(C). Image in C modified from Cave [124].

Fig 25. Palate identification and choana placement in *Panoplosaurus mirus* (ROM 1215).

847 (A) Skull in lateral and (B) ventral view. *Inset:* Major features of the palatal region.

848 Soft-tissue correction

849 Airways segmented from the skulls represented the outer limits of the nasal passage, 850 referred to here as the bony-bounded (BB) airway (Figs 15C–16C). In life, soft tissues within the 851 nasal passage such as the nasal capsule cartilages, mucosa, nerves, and vasculature would have 852 been present and would have occupied space within these well-constrained nasal passages (Fig. 853 26). Previous surveys of airway calibers in the nasal passages of extant amniotes found that 854 airway caliber (the distance spanned between mucosal walls) does not exceed 10 mm in diameter 855 regardless of the size (0.1–600kg) or phylogenetic position (from squamate reptiles to artiodacty) 856 mammals) of the animal [33,81]. This constraint appears to be dictated by the biophysical 857 limitations of diffusion, which effectively works across only very small distances [71]. The 858 thermoregulatory and olfactory functions of the nasal cavity are both diffusion-dependent 859 functions [29,31,126]. In contrast to data from extant animals, the average BB airway calibers in 860 Panoplosaurus and Euoplocephalus were 15.8 mm and 22.9 mm, respectively. These were

861 significantly larger calibers than that observed in the mucosa-lined airways of extant amniotes. 862 To bring airway calibers within the range observed in extant amniotes, we imported airway 863 models of *Panoplosaurus* and *Euoplocephalus* into the 3D modeling and animation program 864 Maya (Autodesk, San Rafael, CA) where the airways were compressed using the program's 3D 865 deformation tools. Airway calibers were reduced until the average diameter was ~10 mm, which 866 is the upper limit observed in extant amniotes, making this a conservative estimate for 867 ankylosaurs. Airway compression followed the natural contours of the nasal passage such that 868 the refined airways resembled a more compressed version of the original segmentation (Figs. 15– 869 16). These soft-tissue-corrected airways are here referred to as the ST airways (Figs. 15D–16D). 870 An extension off the choana was added to all models tested. This extension served to replicate 871 the connection of the nasal airway to the larynx and trachea. This extension was added to address 872 technical aspects of the software (see below) and to ensure that fully developed airflow would be 873 present at the choana during expiration, thus removing any potential heat flow artifacts created 874 by having the program initialize airflow at the choana during expiration (Figs. 15-16).

875

Fig 26. Generic airway diagram for diapsids. Note the much more constricted airway in the
soft-tissue nasal passage (A) vs. the emptier, bony-bounded nasal passage typically preserved in
fossils (B).

To further test the hypothesis that the convoluted airways in our two ankylosaur taxa were conferring a heat-transfer benefit, we digitally manipulated duplicates of our ST airways to remove either the length or the convolutions from the nasal vestibule (Figs. 17–18). One version had a nasal vestibule that extended the straight-line distance between the nostril and the CNP. This model, referred to as the basic airway (Figs. 17B–18B), was used to represent what a

simplified or plesiomorphic nasal vestibule would look like. The second version of the ST
airway retained the total length of the nasal vestibule but had the curvature of the airway
removed (Figs. 17A–18A). This model was referred to as the straightened airway. It represented
the effects of airway distance alone on heat transfer through the nasal passage.

888 **Boundary conditions**

889 Prior to volumetric meshing, the airway models were assigned a series of boundary 890 conditions comprising a set of criteria that described this region of the model to the CFD 891 program. Boundary condition assignment was done to elicit physiologically realistic airflow 892 within the nasal passage (i.e., pressure driven air movement between nostril and choana). These 893 conditions consisted of a pressure inlet located at the fleshy nostril and a pressure outlet located 894 at the end of the artificial trachea (Fig. 27A). During expiration, the assignment of these 895 boundary conditions (inlet and outlet) was swapped. A series of impermeable wall boundaries 896 covered the rest of the nasal passage model. Wall boundaries were demarcated based on 897 anatomical location (Fig. 27A). This was done to better control for regional variation in heat 898 transfer across the nasal passage. Each wall boundary was considered rigid and incorporated a 899 "no-slip" condition that states that air at the fluid-solid interface would be static, an assumption 900 based on known properties of fluid movement through enclosed structures [71]. Note that 901 amniote nasal passages do not have a truly rigid boundary layer between the mucosa and the air 902 field. Boundary layers act as obstacles to diffusion-based processes, thus it is beneficial for 903 amniotes to have means of reducing the size of these boundary layers. In extant amniotes, there 904 is a mucociliary "conveyor belt" comprised of ciliated epithelium that beats in unison towards 905 the nostril or choana [127,128]. This conveyor belt serves to move mucous across the mucosa of 906 the nasal passage. This movement has the potential to reduce the boundary layer between the

907mucosa and the air field, which would aid in diffusion of heat and odorant molecules across the908air-mucosa boundary. However, the speed of cilial movement is extremely slow (≤ 1 cm/min,909[45]) compared to airflow, and its effects on airflow and heat transfer can be considered910negligible for the purposes of our study. Thus, our use of a no-slip boundary condition should not911hamper or otherwise reduce the quality of our results.

912

Fig 27. Mesh example for *Panoplosaurus mirus* (ROM 1215). (A) Nasal passage was assigned
a series of boundary conditions (color-coded). Black line indicates location of (B) axial cross
section illustrating the distribution of volumetric cells within the nasal passage.

916 Meshing

917 Volumetric meshing was performed using the meshing program ICEM CFD (ANSYS 918 Inc., Canonsburg, PA). Models consisted of a tetrahedral-hexahedral (tet-hex) hybrid mesh. First, 919 an unstructured tetrahedral mesh was constructed using the robust OCTREE method [129]. After 920 mesh reconstruction, the core of the mesh was deleted and flooded with hexahedra wherever 921 possible. This hybrid construction offered the versatility of tetrahedra for unstructured mesh 922 reconstruction [130,131], coupled with the computational efficiency of hexahedra [132,133]. To 923 better resolve wall boundary effects on heat transfer, we incorporated a prism layer along the 924 wall of the nasal passage. This layer consisted of four cells that grew in size from the wall by 1.5 925 times their parent cell, producing a combined thickness of approximately 0.7 mm (Fig. 27B).

926 **Computational Fluid Dynamic Analysis**

Meshes were imported into the CFD program Fluent (ANSYS Inc., Canonsburg, PA) foranalysis. To determine the appropriate fluid dynamic model to apply to our airway models, we

929 first took cross sections throughout the nasal passages to determine the dimensionless Revnolds 930 and Womersley numbers for the airway. The Reynolds number is a staple of fluid dynamic 931 analyses [71,134], representing the mathematical relationship between the viscous and inertial 932 forces within a fluid. Low Reynolds numbers (< 2000) indicate that viscous forces dominate the 933 system and that orderly (i.e, laminar) flow will be the dominant flow type expected. Reynolds 934 numbers between 2000–4000 indicate a transition zone in which laminar flow may be punctuated 935 with periods of turbulence that manifest in the form of secondary flows such as Von Kármán 936 trails [71]. Reynolds numbers above 4000 indicate that inertial forces dominate the system and 937 that flow will be chaotic or turbulent [71,134]. We used the following equation to calculate the 938 Reynolds number for the airway [135]:

939

$$Re = \frac{4Q}{Pv} \qquad (3)$$

940 where Q = volumetric flow rate (m³/sec), P = the wetted perimeter in meters [136], and v = the 941 kinematic viscosity of air at 15°C (1.412e⁻⁵ m²/sec).

942 We used the dimensionless Womersley number [137] to determine the steadiness of the 943 flow field, or how often airflow was able to produce a steady, parabolic flow profile. This profile 944 is related to the size of the airway, viscosity of the fluid, and frequency of the oscillation (i.e., 945 breathing rate). Womersley numbers < 1 indicate a quasi-steady flow field that can be modeled 946 as time independent, or steady-state. As the Womersley number climbs above unity, steadiness 947 decreases. At Womersley numbers > 10 the oscillation of the flow is too high for flow to 948 completely develop [138] and is considered unsteady, thus requiring a transient or time-step-949 based modeling approach. We calculated the Womersley number using the following equation 950 [45]:

951
$$Wo = \frac{Dh}{2} \sqrt{\frac{2\pi f}{v}}$$
(4)

- 952 where f = the frequency of oscillation (Hz), and Dh = hydraulic diameter of the airway.
- 953 Hydraulic diameter was calculated using the following equation [136]:
- 954 $h = \frac{4A}{P} \tag{5}$
- 955 where A = the area of the cross section measured (m^2) .

956 Physiological Variables

957 Following our previous methodology [81], we used the same phylogenetically corrected 958 allometric equation for resting respiration in birds [49] to estimate flow rates during inspiration 959 and expiration (Table 8). Mass estimates for both ankylosaurs were taken from the 960 supplementary data in Brown et al. [73]. Estimated masses for the two ankylosaurs were over 20 961 times larger than the largest animal in the Frappell et al. dataset ([49], an 88 kg ostrich). Using 962 the equations from Frappell et al. [49] required extending the regression line well beyond the 963 initial scope of the data. To alleviate the effects of this approach we used the lightest mass 964 estimates for *Panoplosaurus* and *Euoplocephalus* as provided by Brown et al. ([73], Table 8). 965

Table 8. Respiratory values for the ankylosaurs *Panoplosaurus mirus* and *Euoplocephalus tutus*

Towon	Mass	Tidal volume	Breathing		Low flow
1 8 2011	(kg)*	(ml)†	frequency†		rate‡
Panoplosaurus	1100	34,000	1.5 breaths/min	77 L/min	37 L/min
Euoplocephalus	2000	64,000	1.2 breaths/min)	110 L/min	48 L/min

968

We performed a cross-sectional analysis of the airways following the methodology of
Bourke et al. [81]. Respiratory flow variables input into the Reynolds and Womersley equations
above indicated that both taxa would have had steady flow, but air would have been largely
transitional if not completely turbulent within the nasal passages during simulated respiration.

973 The latter result was at odds with previously published results on resting respiration in amniotes. 974 In extant amniotes measured during restful breathing, laminar flow dominates the air field 975 [48,139–141]. Laminar flow is less energetically expensive (due to lower resistance) than 976 turbulent flow and can be expected in animals that are not undergoing strenuous exercise. Our 977 two ankylosaurs did not show this pattern, suggesting that the regression equations we used may 978 not be viable at such large body masses or that ankylosaur body plans were not appropriate for 979 the avian-based equation of Frappell et al. [49]. To reduce the effects of poor flow rate choice, 980 we recalculated airflow rates by rearranging the Reynolds equation to solve for flow rate:

$$Q = \frac{(Re)Pv}{4} \tag{6}$$

We refer to this new method as the Reversed-Reynolds approach. This approach provides an
upper limit to volumetric flow through the nasal passage under relaxed conditions. We set the
Reynolds number at 2000 (corresponding to the transition into turbulence) as our constant and
recalculated flow rate across the nasal passages. The lowest flow rate obtained from crosssectional analysis using this Reversed-Reynolds equation was chosen as the new flow rate (Table
8). This approach, the first of its kind as far as we know, ensures that laminar flow dominates the
air field under simulated respiration.

Flow rate has been shown to be the primary variable affecting the efficiency of the nasal passage at managing heat flow [29,70]. With this in mind, we chose to run the ST and BB models under both flow rate estimates. The initial flow rate, estimated from the regression by Frappell et al. [49], was deemed the "high flow rate" condition. Our revised flow rate estimate, calculated using our Reverse-Reynolds method, was deemed the "low flow rate" condition. For the high flow rate condition, we used the Wilcox two-equation κ - ω turbulence model [142] with

low Reynolds corrections and shear stress transport. For the low flow rate condition, we used thestandard laminar viscosity model for continuity, momentum, and energy.

997
$$\nabla \cdot \xrightarrow{u} = 0 \qquad (7)$$

998
$$\rho(\underbrace{\rightarrow}{u} \cdot \nabla) \underbrace{\rightarrow}{u} = \nabla \rho + \mu \nabla^2 \underbrace{\rightarrow}{u} \quad (8)$$

999
$$(\underset{u}{\rightarrow} \cdot \nabla)T = \frac{k}{\rho c_p} \nabla^2 T \quad (9)$$

1000 Where $\frac{1}{u} = \frac{1}{u}(x, y, z)$ = the velocity vector, ρ = the density of air at a given temperature, T = 1001 T(x,y,z) temperature at a given time, Cp = the specific heat, and k = the thermal conductivity for 1002 air at a given temperature.

1003 Environmental conditions

1004 We simulated sea-level air at 15°C and 50% relative humidity (r.h.), which was within 1005 the range of expected conditions that these dinosaurs would have experienced in their 1006 environment [143,144]. We gave the nasal passages an estimated body temperature of 35°C. This 1007 body temperature fell within the range of core body temperatures typically observed in extant 1008 large terrestrial mammals, birds, and reptiles (Table 5). Density (1102 kg/m^3) , thermal 1009 conductivity (0.34 W/mK), and specific heat for the mucosal walls (3150 J/kgK) were obtained 1010 from the database provided by the Foundation for Research on Information Technologies in 1011 Society (IT'IS). Nasal walls were given a thickness of approximately 0.5 mm for heat to conduct 1012 through. This distance simulated the distance between the nasal passage and the adjacent blood 1013 vessels and was based on observed CT data from extant amniotes [145]. Humidity was simulated 1014 by using the species transport option in Fluent, which allows for the incorporation of the mass 1015 fractions of water at various temperatures (i.e., relative humidity). The nasal walls were assumed

to be at 100% relative humidity during both phases of respiration, following observation onextant animals [146].

Pressure and velocity coupling used the SIMPLEC algorithm along with a node-based
discretization gradient. We used a second order accurate spatial discretization scheme for
pressure, momentum, turbulence (when applicable), and energy.

1021 Models ran until the results obtained from each analysis had reached a specified level of 1022 stability and consistency referred to as convergence [147]. This indicated that the numerical 1023 process used to solve the problem had asymptotically approached the "true" solution (i.e., 1024 airflow and heat transfer through a real nasal passage) given the conditions provided to the 1025 program. In CFD, convergence may be determined based on the global imbalances in the values 1026 for each node within the mesh between steps, or iterations, of the model. Imbalances, or errors, 1027 between each iteration are referred to as residuals [147]. The smaller these residuals are, the 1028 smaller the error is and the more converged the solution becomes. Global variables for 1029 momentum, pressure, and continuity (the conservation of fluid mass) are generally considered solved when their residuals have fallen below 1.0e⁻³ [147]. However, for physiological studies 1030 such as ours, we applied the stricter criterion of $1.0e^{-4}$ [45,81]. For energy (heat flow), 1031 convergence is determined when the residuals of error had fallen below 1.0e⁻⁶. To further aid in 1032 1033 determining convergence we monitored special surfaces placed throughout regions of the model. 1034 These surfaces were designed to output data from a single location only (point surfaces). They 1035 provided localized measures of convergence and were used in conjunction with standard 1036 convergence measures for continuity, momentum, and energy to determine when models had 1037 been sufficiently solved.

1038 Mesh Independence

1039 To ensure that the results obtained from our analyses were independent of the mesh 1040 resolution, we performed a solution-based adaptive mesh refinement (AMR), following 1041 previously established protocols [83]. This approach used converged or a mostly converged 1042 solution to determine regions of the mesh that had poor resolution. Local refinement was 1043 performed in these regions of poor resolution and the analysis was run again. This approach was 1044 repeated until refined meshes return values that fell below a pre-determined threshold. For our 1045 analysis, we used a threshold of 1% for regional temperature values as determined from point 1046 surfaces (Fig. 28).

1047

Fig 28. Final model resolutions used for simulations. Surrounding graphs show sample
adaptive mesh comparisons between different model resolutions for temperature and velocity
within parts of the nasal vestibule.

1051

1052 **Post processing and heat flow measurements**

1053 Solved models were exported to the CFD module of Avizo (Avizo Wind) where 1054 qualitative and quantitative measurements were taken. For heat flow, we took measurements 1055 from cross sections of the nasal passage. These cross sections were taken orthogonal to the 1056 curvature of the nasal passage. Multiple measurements were taken from each cross section and 1057 the mean was recorded for each cross section.

1058 Caloric costs and savings

Calculating the energetic costs of heating a single bolus of air by 20°C requires
knowledge of the mass of air being moved between breaths, coupled with the caloric cost of

1061 heating that bolus of air. We calculated our estimated energetic costs using the following1062 equation:

1063
$$heating cost (cal) = (M_{air} * Cp) * \Delta T \quad (10)$$

1064 where M_{air} = the mass of air in a given tidal volume (g), and Cp = the specific heat capacity of 1065 air, which is 0.24 cal/g°C across most physiological temperatures [146]. ΔT is the temperature

1066 change the air volume goes through ($^{\circ}$ C).

1067 The mass of air present in a single breath was determined by multiplying the tidal volume 1068 of air respired, by its density at 35°C, as shown in the following equation:

1069 $M_{air} = V_T * 1.146$ (11)

1070 where V_T = the tidal volume (L) and 1.146 = the density of air (g/L) at the estimated body 1071 temperature of 35°C. Body-temperature air density will be the limiting factor behind tidal 1072 volume within the lungs. To determine tidal volume, we used the equation relating tidal volume

1073 to body mass (M) in birds [49].

1074 $V_T = 20.3M^{1.06}$ (12)

1075 Much of the heat loss from the nasal passage occurs via evaporation of water off the nasal 1076 mucosa [146]. Thus, along with the caloric cost of temperature change within the nasal passage 1077 (sensible heat), one must also take into account the caloric cost associated with the phase change 1078 of water from a liquid to a gas (latent heat). We used saturated steam table values to determine 1079 the latent heat of vaporization for our temperature range. The caloric cost of heating air by 20°C 1080 was calculated using the following equation:

1081
$$heating cost_{(latent)} = \Delta M_{H2O} \cdot \Delta H_{vap}$$
(13)

1082 where ΔM_{H2O} = the absolute difference in the mass of water (kg) at two given humidities. ΔH_{vap} 1083 = the latent heat of vaporization for a given temperature (cal/kg). The mass of water was determined by multiplying the mass fraction of water at a given humidity (g/kg), by the mass ofair (kg) in a single tidal volume.

1086 As heat is a form of energy, the same equations for calculating the sensible heat gain to 1087 the air can be used to determine heat loss during expiration. Similarly, the caloric costs 1088 associated with the latent heat of vaporization will be the same values as the latent heat of 1089 condensation, just with a reversed sign. Thus, the equations used to determine the caloric costs of 1090 heating air during inspiration can be used to determine heat savings upon air cooling during 1091 expiration. The only change during expiration is the value for ΔH at the expired air temperature 1092 (cooler air holds less water), and the assumption that air leaves the nostril at 100% relative 1093 humidity regardless of expired air temperature [146].

Energy savings were calculated by taking the calories returned to the body during air cooling and condensation during expiration and dividing it by the initial caloric cost of heating and humidifying the air during inspiration. Water saving were calculated following the method of Schmidt-Nielsen et al. [148].

1098 Heat savings comparison with extant taxa

Comparison of the results from our two ankylosaur species to extant animals was accomplished by surveying the literature for data on heat and water savings within the nasal passages of extant birds, mammals, and reptiles (Tables 9–11). Direct data was available for the cactus wren (*Campylorhynchus brunneicapillus*) and kangaroo rat (*Dipodomys merriami*).[29] All other studies reported only the estimated water recovery from respiration. We manually calculated the heat savings for the remaining species based on estimates of inspired air during a single breath. These estimates were obtained from mass-dependent equations for tidal volume in

- 1106 mammals [149] and lizards [86]. Estimated caloric costs and estimated savings were calculated
- 1107 using the methods described earlier (Tables 9 and 10).

1108 **Table 9. Taxa used for comparative energy savings graph**

Taxon	Reference
Campylorhynchus brunneicapillus	[29]
Dipodomys merriami	[29]
Giraffa camelopardalis	[33]
Equus africanus	[33]
Dipsosaurus dorsalis	[30]
Corvus brachyrhynchos	[38]
Columba livia	[38]

1109

1110 **Table 10. Inspiratory values for taxa studied**

Taxon	Tidal volume (ml)	Mass of air (g)	Heat capacity (cal/°C)	Temperature increase (°C)*	Total energy (cal)
Giraffa Camelopardalis [33]	5,959	6.78	1.63	16.2	26.4
Equus africanus [33]	1,605	1.82	0.44	14	6.16
Dipsosaurus dorsalis [30]	0.457	5.12e ⁻⁴	1.23e ⁻⁴	12	$1.5e^{-3}$
Corvus brachyrhynchos [38]	7.25*	8.14e ⁻³	1.95e ⁻³	26.1	5.1e ⁻²
Columba livia [38]	5.24*	5.90e ⁻³	$1.41e^{-3}$	25.7	3.6e ⁻²

1111

1112 Table 11. Heat energy savings among taxa studied

Taxon	Expired temp (°C)*	Mass of air (g)	Heat capacity (cal/°C)	Temp decrease (°C)	Energy saved (cal)
Giraffa Camelopardalis [33]	28.0	6.98	1.7	9.3	15.8
Equus africanus [33]	32.3	1.86	0.45	5.3	2.4
Dipsosaurus dorsalis [30]	35	5.24e ⁻⁴	$1.3e^{-4}$	7	9.1e ⁻⁴
Corvus brachyrhynchos [38]	21.9	8.68e ⁻³	2.1e ⁻³	19.2	$4.0e^{-2}$
Columba livia [38]	21.4	6.28e ⁻³	$1.5e^{-3}$	19.3	3.0e ⁻²

1113

1114 The results produced from this method provide a rough, "ballpark" comparison between

1115 taxa. They allowed us to see the overall energy recovery capacity within the nasal passages.

1116 However, since these data came from three different studies with different protocols, these

1117 comparative results should not be viewed as equivalent. For instance, the study on the desert 1118 iguana (currently the only reptile to have such a study done) had measurements of inspiration 1119 and expiration at a variety of body temperatures [30]. To make the results from that paper more 1120 comparable to the mammal and bird data, we took the largest exhaled temperature drop 1121 observed, which was 7° C when the animal had a body temperature of 42° C in an ambient 1122 temperature of 30°C (note: Murrish and Schmidt-Nielsent [30] had a typographical error in their 1123 discussion that stated their lizards reduced air temperature by only 5°C. However, their results 1124 section and graphs indicate that $7^{\circ}C$ is the correct number). Further, the authors did not test their 1125 lizards at an ambient temperature of 15° C, nor a relative humidity of 50%, thus making direct 1126 comparisons with Langman et al. [33] and Geist [38] impossible. Similarly, the data from 1127 Schmidt-Nielsen et al. [29] were for animals breathing in air at 25% relative humidity, whereas 1128 the data from Langman et al. [33] did not specify humidity, nor did they test at air temperatures 1129 of 15°C. Of the data used for comparisons, the data from Geist [38] are the most equivalent for 1130 comparison with our dinosaur models.

1131 Validation Study

Prior to running our analysis on the ankylosaur models, we sought first to validate our methodology using empirically obtained data on heat transfer in pigeons [38]. We used CT data from a large adult domestic pigeon (*Columba livia*) and followed the methodology outlined above to simulate heat transfer within the nasal passage (Table 1, Fig. 3). As with the ankylosaurs, we only modeled heat transfer through the left nasal passage. Data obtained during inspiration were used to inform the expiration model under the assumption that warming of the air by the nasal walls came at the expense of an equal reduction in mucosal wall temperature.

1139	Environmental temperature and humidity were set to 15°C and 50% relative humidity,
1140	respectively, reflecting the conditions used by Geist [38].

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temperature gradient during the Cretaceous Upper Campanian-Middle Maastrichtian : $\boldsymbol{\delta}$


Primary Analyses























A













serial flow































model	Panoplosaurus	Euoplocephalus
bony-bounded (BB)	1,975,329	2,040,144
soft-tissue (ST)	3,518,193	1,341,829
basic	2,419,253	1,276,168
straightened	1,399,588	1,844,849

